

Sea-level science on the frontier of usability

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Key Points:

- Understanding coastal evolution requires accounting for interactions of sea-level change, geomorphology, socioeconomics, and human responses.
- Deep uncertainty in sea-level rise projections and impacts exists on timescales relevant to infrastructure and planning decisions.
- Adaptation under deep uncertainty requires co-production, iterative risk management, and awareness of political economy.

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Abstract

Sea-level rise sits at the frontier of usable climate change research, because it involves natural and human systems with long lags, irreversible losses and deep uncertainty. For example, many of the measures to adapt to sea-level rise involve infrastructure and land-use decisions, which can have multigenerational lifetimes and will further influence responses in both natural and human systems. Thus sea-level science has increasingly grappled with the implications of: (1) deep uncertainty in future climate system projections, particularly of human emissions and ice sheet dynamics; (2) the overlay of slow trends and high-frequency variability (e.g., tides and storms) that give rise to many of the most relevant impacts; (3) the effects of changing sea level on the physical exposure and vulnerability of ecological and socioeconomic systems; and (4) the challenges of engaging stakeholder communities with the scientific process in a way that genuinely increases the utility of the science for adaptation decision-making. Much fundamental climate system research remains to be done, but many of the most critical issues sit at the intersection of natural sciences, social sciences, engineering, decision science, and political economy. Addressing these issues demands a better understanding of the coupled interactions of mean and extreme sea levels, coastal geomorphology, economics, and migration; decision-first approaches that identify and focus research upon those scientific uncertainties most relevant to concrete adaptation choices; and a political economy that allows usable science to become used science.

Plain language summary

The impacts of sea-level rise pose growing threats to coastal communities, economies, and ecosystems, and decisions made today – in areas like land-use policies, coastal development, and infrastructure investment – will affect exposure and vulnerability for generations to come. Thus the usability of sea-level science is a pressing concern. Ensuring the usability of sea-level science requires grappling with deep uncertainty in long-term sea-level projections, the relationship between long-term trends and the impacts of short-lived extreme events, and the ways in which the physical coast, as well as people and ecosystems along the coast, respond to increasingly frequent flooding. At the same time, it also requires more extensive and deliberate stakeholder engagement throughout the scientific process, as well as cognizance of the political economy of linking stakeholder-engaged science to action. This AGU Centennial Paper examines the state of the relevant science and key challenges in achieving these objectives.

1 Introduction

Climate change is inherently a long-term phenomenon: under the classical definition of ‘climate’ as the statistics of weather over 30-year periods (e.g., Arguez & Vose, 2011), it can only be seen amid more rapid variability from a multidecadal perspective. Thus climate change science – as opposed to the meteorology or physical oceanography of climate variability – becomes actionable primarily in the contexts of catalyzing overdue adjustments to past trends and of managing future long-term risks. The feasibility of long-term risk management depends on a variety of psychological, social, economic, and institutional factors (Shwom & Kopp, 2019). Whether climate science is actionable, however, depends not only on a favorable context, but also on the shape of the science itself – even in a welcoming psychosocial environment, science cannot be used if it does not address questions relevant to the decisions being made (e.g., Hinkel et al., 2019).

As global temperatures and sea level have climbed, climate science has slowly expanded from an original primary focus on fundamental understanding to include a

71 significant emphasis on usability (Dilling & Lemos, 2011). This transition happened
72 first with the science of the global climate and its implications for mitigation, where
73 ‘usability’ is primarily in the context of national and global target setting and institu-
74 tional design. The recognition of a clear human fingerprint in modern warming and the
75 development of concepts such as ‘carbon budgets’ have made a clear and direct impact
76 on the shape of the global climate negotiations (e.g., Allen et al., 2009; Friedlingstein
77 et al., 2014; Matthews & Caldeira, 2008; Matthews et al., 2018).

78 Given a particular course of global emissions, however, many actions to manage
79 climate risk, especially with regards to adaptation, will be decided on and implemented
80 at a more local level. With respect to science for climate adaptation, the study of sea-
81 level change has been at the frontier of the usability transition. This pole position
82 is due to two main reasons. First, like temperature change but unlike many other
83 climate stressors (e.g., changes in precipitation or weather systems), sea-level change
84 is univariate and, in most of the world, monotonic on climatological timescales: the
85 multidecadal-average sea level is inexorably rising. It is thus more straightforward for
86 decision-makers to understand and react to than multivariate changes of ambiguous
87 direction. Second, whereas for temperature-related impacts, many of the relevant
88 adaptations (e.g., behavioral change, air conditioning) can be implemented rapidly,
89 for sea-level-related impacts, many of the relevant adaptations involve infrastructure
90 and land use. Thus, decisions made today affect the coastal risk faced by subsequent
91 generations.

92 The tie between near-term investments and long-term vulnerability can be seen
93 in retrospect. In the aftermath of Hurricane Sandy, for example, about 90% of the
94 New Jersey customers of the Public Service Electric and Gas Company (PSE&G) lost
95 power, many for more than a week. Fourteen of PSE&G’s major switching stations
96 were affected (Calore, 2013). Seven of the switching stations were located at sites of
97 generation stations or substations that had been sited prior to 1911 (*Electric Railway
98 Journal*, 1911, p. 590). In other words, siting decisions made by Thomas Alva Edison
99 and his contemporaries had a fairly direct effect on the vulnerability of the New Jersey
100 electric grid during a storm more than a century later. The vulnerability of their great-
101 grandchildren’s electric grid to coastal flooding was surely not among their top design
102 considerations; but with climate change and sea-level rise amplifying exposure, in some
103 cases dramatically, it has become increasingly urgent to be more forward-looking.

104 This paper provides an overview of the sea-level science relevant to decision-
105 makers and communities developing forward-looking coastal adaptation strategies. Ul-
106 timately, such strategies must identify ways to combine the four basic approaches to
107 coastal adaptation (e.g., Haasnoot et al., 2019; Sengupta et al., 2018): (1) accommo-
108 dation of more frequent flooding through social (e.g., improved emergency response),
109 economic (e.g., flood insurance) and engineering changes (e.g., building elevation), (2)
110 defense against flooding (e.g., through the construction of levees or the protection of
111 natural buffer zones), (3) advance (i.e., the reclamation of land from the ocean), and
112 (4) relocation (e.g., through autonomous or planned migration). Determining how to
113 combine these approaches requires consideration of the physical hazards associated
114 with sea-level change and the autonomous responses of individuals to their changing
115 environment, as well as the decision science approaches that can help construct strate-
116 gies that are robust to uncertainty and the political and sociological barriers to their
117 effective use.

118 Sections 2–4 examine the physical drivers and hazards associated with sea-level
119 change. Section 2 briefly reviews projections of future sea-level change, highlighting
120 key uncertainties that are relevant for decision-making. More detailed reviews are
121 available in several recent papers and assessment reports (e.g., J. A. Church et al.,
122 2013; Oppenheimer et al., 2019; P. U. Clark et al., 2015; A. B. A. Slangen et al.,
123 2017; A. J. Garner et al., 2018; B. P. Horton et al., 2018; Jevrejeva et al., 2019).

124 Section 3 examines the translation of future sea-level change to extreme sea levels
125 and related attempts to assess flooding on the current coastline. Section 4 examines
126 the dynamics of the physical response of the coast to changing mean and extreme
127 sea level. Section 5 then examines the dynamic socioeconomic response of coastal
128 communities, primarily through migration, focusing on how the autonomous actions
129 of individuals affect community exposure and vulnerability to flooding. Section 6 and
130 7 turn to planned adaptation choices. Section 6 examines decision frameworks for
131 incorporating sea-level science into coastal planning even in the presence of scientific
132 deep uncertainty, while Section 7 examines the practice of planned coastal adaptation.
133 Section 8 concludes by identifying some key pathways forward to advance the physical,
134 social, and decision science of sea-level change.

135 In the paper, we follow the standardized definitions of concepts and terminology
136 related to sea-level change and variability adopted by Gregory et al. (2019). By *relative*
137 *sea level (RSL) change*, we refer to the local change in the time-average height of the
138 sea-surface (*mean sea level*) above the sea floor. Where not otherwise specified, we
139 take mean sea level to be a mean of an 18.6-year tidal cycle, averaging out shorter-
140 term variability. By *global mean sea level (GMSL) change*, we refer to change in the
141 volume of the ocean divided by the surface area of the ocean, which is equivalent to
142 the average of RSL change over the surface area of the ocean.

143 2 Projections of relative sea level change

144 Going back to its nineteenth-century roots, sea-level science has long been in-
145 formed by inferences from the geological record, observations of modern sea level,
146 and predictions of theoretical, analytical, and numerical models. Coming from a pa-
147 leo perspective, Croll (1867) recognized the relationship between ice-age variability in
148 land-ice volume and GMSL change. He also noted the effect of ice-volume changes
149 on RSL via changes in Earth's gravitational field (Sugden, 2014), which Woodward
150 (1888) correctly derived for the case of a rigid Earth. (The derivation was not ex-
151 tended to a non-rigid Earth for almost a century, until the work of Farrell & Clark
152 (1976) and J. A. Clark & Lingle (1977).) Johnson (1929), writing for the U.S. National
153 Research Council, synthesized what was known about the drivers of spatial variability
154 in mean sea level, highlighting effects of freshwater input, wind, Earth rotation, and
155 tidal channel geography. Gutenberg (1941) compiled data from 69 tide gauges around
156 the world (excluding gauges undergoing post-glacial uplift) and identified a centennial-
157 scale GMSL trend of about 1.1 mm/yr. Fairbridge & Krebs (1962) added thermosteric
158 and halosteric density effects to Johnson (1929)'s list of key processes and noted me-
159 teorological variability in sea level associated with the Southern Oscillation and the
160 North Atlantic Oscillation. From tide-gauge data, they inferred a long-term GMSL rise
161 beginning in about 1890, with an average rate of 1.2 mm/yr from 1900–1950. Drawing
162 in part on paleo-sea level data, Mercer (1978) highlighted the instability in the West
163 Antarctic Ice Sheet (WAIS) that would result from loss of fringing ice shelves, and
164 warned that CO₂-induced warming could lead to deglaciation and 5 m of GMSL rise.

165 2.1 Advances in sea-level projections

166 The first modern projections of sea-level change were developed in the early 1980s
167 (e.g., Gornitz et al., 1982), triggered by the growing concern about the potential insta-
168 bility of WAIS (e.g., Hughes, 1975; J. A. Clark & Lingle, 1977; Mercer, 1978; Schneider
169 & Chen, 1980). (See Figure 1a and A. J. Garner et al. (2018) for a compilation of sub-
170 sequent projections.) In general, projections can be categorized as either “top-down”
171 or “bottom-up”. Top-down methods utilize observed relationships between global-
172 mean temperature and GMSL, often assuming that there is an equilibrium GMSL for
173 each temperature to which realized GMSL converges at a rate dependent on degree

174 of disequilibrium (e.g., Gornitz et al., 1982; Rahmstorf, 2007; Rahmstorf et al., 2012;
175 R. E. Kopp et al., 2016). Bottom-up methods aggregate the contributions of each of
176 the key driving processes contributing to GMSL and RSL change. Advances have been
177 made using both approaches over the past three and a half decades.

178 Advances in top-down projections have been driven by increasing statistical so-
179 phistication and longer, higher quality global mean temperature and GMSL recon-
180 structions. For example, GMSL reconstructions are now informed by satellite altimetry
181 measurements of sea-surface height (e.g., Nerem et al., 2018; WCRP Global Sea
182 Level Budget Group, 2018), by tide-gauge records stretching back in some locations
183 to the 18th century (e.g. Holgate et al., 2013; S. Talke et al., 2018), and by geo-
184 logical reconstructions that in some cases achieve decimeter-scale vertical resolution
185 and multidecadal-scale temporal resolution stretching back up to four millennia (e.g.,
186 R. E. Kopp et al., 2016; Kemp et al., 2018).

187 Interpretation of these records has been advanced in part by increasingly so-
188 phisticated statistical approaches (Ashe et al., 2019), which include not just pooling
189 individual tide gauges to construct regional averages (e.g., Johnson, 1929; Gornitz et
190 al., 1982; Jevrejeva et al., 2014; Dangendorf et al., 2017) but also the use of empirical
191 orthogonal functions (EOFs) constructed from satellite altimetry data to capture ex-
192 pected spatial variability of tide-gauge data (J. A. Church & White, 2006; J. Church
193 & White, 2011; Calafat et al., 2014; Dangendorf et al., 2019), Gaussian-process mod-
194 els that incorporate the spatio-temporal correlations expected from different driving
195 processes (R. E. Kopp, 2013; Hay et al., 2015), and state-space models that explicitly
196 model the evolution of different driving processes over time (Hay et al., 2015; Dan-
197 gendorf et al., 2019). Gaussian-process models have also been used to fuse tide-gauge
198 and geological data (e.g., R. E. Kopp et al., 2016; Kemp et al., 2018), allowing the
199 reconstruction of the last three millennia of GMSL change and showing the extraordi-
200 nary nature of 20th century GMSL rise. Notably, however, no current reconstruction
201 directly combines satellite data with tide-gauge or geological data. Further, the only
202 reconstruction approach yet used to indirectly combine satellite and tide-gauge data
203 (the EOF-based approach) has been shown to yield biased reconstructions of either
204 GMSL or higher-frequency variability (Calafat et al., 2014). Dangendorf et al. (2019)
205 worked around this limitation by combining a reconstruction of high-frequency vari-
206 ability from the EOF method with a reconstruction of lower-frequency changes from
207 a state-space model.

208 Advances in bottom-up projections have been driven by an increasingly sophis-
209 ticated understanding of relevant physical processes, including those that cause local
210 RSL changes to differ from GMSL changes (e.g., Milne et al., 2009; R. E. Kopp et
211 al., 2015) (Figure 2a). GMSL rise is driven by the decreasing density of the warming
212 ocean (*global mean thermosteric sea level rise*) and the addition of mass to the ocean
213 (*barystatic sea-level rise*), primarily from glaciers and ice sheets and secondarily from
214 the land hydrosphere. RSL changes are driven by many additional processes. For
215 example, changes in atmosphere-ocean heat, buoyancy, and momentum fluxes, and re-
216 sulting changes in ocean circulation, drive a highly spatially variable pattern of ocean
217 density and mass changes (e.g., Stammer et al., 2013). River discharge can also be
218 an important driver of interannual sea-level variability (Piecuch, Bittermann, et al.,
219 2018). Shifting mass between the cryosphere, land hydrosphere, and ocean gives rise
220 to gravitational and rotational effects that alter the height of the sea surface, and also
221 deform the Earth's crust, affecting the height of the land; the processes together are ex-
222 amples of *gravitational, rotational, and deformational* (GRD) effects (e.g., J. A. Clark
223 & Lingle, 1977; Mitrovica et al., 2011). The ongoing response of the Earth's mantle
224 to past changes in loading gives rises to additional GRD, known as *glacial-isostatic*
225 *adjustment* (GIA) (e.g., Farrell & Clark, 1976; Lambeck et al., 2014; Peltier et al.,
226 2015). The height of the land also changes in response to processes such as sediment

227 compaction (in some cases, accelerated by anthropogenic groundwater or hydrocarbon
 228 withdrawal) (e.g., Keogh & Törnqvist, 2019), tectonics (e.g., Tanaka & Heki, 2014),
 229 and mantle dynamics (Rowley et al., 2013).

230 Important advances have been made in the integration of these processes (and related
 231 collaborations across research disciplines). As recently as the last decade, studies
 232 by ocean modelers often ignored the potential importance of GRD effects (e.g., Stam-
 233 mer, 2008; Hu et al., 2009), though the latter dominate the spatial patterns associated
 234 with ice-sheet melt once net losses exceed a couple decimeters sea-level equivalent
 235 (R. E. Kopp et al., 2010). Conversely, studies of GRD effects generally assumed that
 236 freshwater input to the ocean would, apart from GRD effects, be uniformly distributed,
 237 and did not consider how the dynamic effects of freshwater input might modulate this
 238 assumption (e.g., Mitrovica et al., 2001). Meanwhile, long-term sea-level reconstruc-
 239 tions from the geological community often assumed that GIA and geological processes
 240 like tectonics were the only drivers of deviations between GMSL change (often called
 241 ‘eustatic sea level’ change in this literature) and RSL change (e.g., Engelhart et al.,
 242 2009).

243 To our knowledge, Milne et al. (2009) offered the first major review calling for
 244 an integrated approach, and R. E. Kopp et al. (2010) was the first global study to
 245 couple dynamic and GRD effects associated with ice sheet mass changes, albeit in a
 246 highly idealized setting. Driven by the needs both for relevant, comprehensive local
 247 sea-level projections and to interpret increasingly detailed current observations and
 248 past reconstructions, the past decade has seen a dramatic increase in the integration
 249 of sea-level fields (e.g., Katsman et al., 2011; R. E. Kopp et al., 2014; A. Slangen et al.,
 250 2014; Jackson & Jevrejeva, 2016). This integration is essential for adaption planning,
 251 which requires comprehensive, localized, projections.

252 This holistic approach is reflected in current bottom-up projections, in which RSL
 253 at location x and time t , under forcing scenario F , is viewed as the sum of different
 254 contributing factors, for example:

$$\begin{aligned}
 \text{RSL}(x, F, t) = & \text{GMTSLR}(F, t) + \text{DSL}(x, F, t) + \dots & (1) \\
 & \sum_i f_i(x, F, t) \text{GLAC}_i(F, t) + \sum_j g_j(x, F, t) \text{IS}_j(F, t) + \dots \\
 & \sum_k h_k(x, t) \text{LWS}_k(t) + \text{GIA}(x, t) + \text{VLM}(x, t)
 \end{aligned}$$

255 Here, GMTSLR represents global mean thermosteric sea-level rise, DSL represents
 256 ocean dynamic sea level (the height of the sea surface above the geoid, with the in-
 257 verse barometer correction applied). GLAC, IS, and LWS represent different glacial
 258 regions, ice-sheet sectors, and terrestrial water reservoirs (with regions denoted by
 259 the i subscript), while f , g , and h represent their respective normalized GRD spatial
 260 patterns (often called ‘fingerprints’). GIA represents the (VLM and geoidal) effects
 261 of GIA, and VLM represents contributions to vertical land motion not otherwise in-
 262 corporated into GRD fingerprints or GIA. The GMTSLR and DSL terms affect only
 263 the height of the sea surface (also known as geocentric sea level); VLM affects only
 264 the height of the land; while the remaining processes affect both the surfaces defining
 265 RSL.

266 Many sources of information have been employed to quantify each term in Eq. 2,
 267 including novel or published model outputs, informal or structured expert judgement,
 268 and statistical extrapolation of observations. GMTSLR and DSL terms are often de-
 269 rived directly from the output of global climate models (GCMs) (e.g., Yin, 2012),
 270 while glacier projections typically come from mass balance models forced by down-
 271 scaled GCM projections of temperature and precipitation (e.g., Marzeion et al., 2012).
 272 Diverse approaches have been used to estimate LWS, including both process models of

273 groundwater withdrawal (e.g. Konikow, 2011; Wada et al., 2012) and semi-empirical
 274 relationships among parameters like dam construction, groundwater withdrawal, and
 275 population (e.g., Rahmstorf et al., 2012; R. E. Kopp et al., 2014). Ice sheet projec-
 276 tions generally rely significantly on informal or structured expert judgement (SEJ)
 277 (e.g., J. A. Church et al., 2013; Bamber & Aspinall, 2013; Bamber et al., 2019), in-
 278 formed by but often not strictly tied to the state-of-the-art in modeling of ice-sheet
 279 melt, accumulation, and discharge.¹ (The complexities in this term are discussed be-
 280 low.) The GRD fingerprint terms come from geophysical models solving the so-called
 281 ‘sea-level equation’ (e.g., Mitrovica et al., 2011). GIA typically either comes from a
 282 geophysical model (e.g. Lambeck et al., 2014; Peltier et al., 2015) or is incorporated
 283 into an empirical estimate of slowly changing background processes (e.g., R. E. Kopp
 284 et al., 2014). The latter estimate also includes other forms of slow VLM; projections
 285 that do not take this approach generally ignore forms of VLM not associated with
 286 fingerprints or GIA. Recent efforts have also adapted top-down methods to project
 287 temperature-dependent RSL contributions by component, with associated fingerprints
 288 (Mengel et al., 2016; A. M. R. Bakker et al., 2017).

289 In general, historically calibrated top-down methods must be complemented by
 290 bottom-up methods if local RSL projections are required. However, top-down methods
 291 provide useful context for interpreting bottom-up projections (Figure 1b-c). Projected
 292 future GMSL from top-down projections calibrated against the tide-gauge record (e.g.,
 293 Schaeffer et al., 2012; Grinsted et al., 2010) are generally systematically higher than
 294 bottom-up projections (e.g., J. A. Church et al., 2013). For example, Schaeffer et
 295 al. (2012)’s semi-empirical model projected a 90% credible interval of 64–121 cm of
 296 21st century GMSL rise under a moderate emissions pathway (Representative Con-
 297 centration Pathway [RCP] 4.5); by contrast, the bottom-up approaches used by the
 298 Intergovernmental Panel on Climate Change’s Fifth Assessment Report [IPCC AR5]
 299 projected an ~ 66% credible interval of 35–70 cm. A semi-empirical model calibrated
 300 against two millennia of geological reconstructions of GMSL and global-mean tem-
 301 perature (R. E. Kopp et al., 2016) yields lower projections, in good agreement with
 302 IPCC AR5 (J. A. Church et al., 2013) (an ~ 66% credible interval of 39–69 cm). The
 303 agreement between these two different approaches may increase confidence in both.
 304 However, since the top-down projections are based on a time period (the last two
 305 millennia) that likely involved a far smaller role for ice-sheet changes than is expected
 306 in the future, this agreement might alternatively be interpreted as a warning sign for
 307 possible implicit historical biases in AR5’s bottom-up projections.

308 2.2 Key unanswered questions in sea level projections

309 Despite significant progress, there remain important unanswered questions that
 310 affect the usability of mean sea level projections.

311 2.2.1 Deep uncertainty in sea-level projections

312 ‘Deep uncertainty,’ also known as ‘ambiguity’ (Ellsberg, 1961), refers to a situa-
 313 tion in which there is limited scientific agreement on key conceptual models
 314 and parameters (R. J. Lempert, 2002). Ellsberg (1961)’s classic example refers to
 315 a gamble involving drawing balls from a urn containing a mixture of red and black
 316 balls, with a \$100 award for a red ball and no award for a black ball. In the shallow

¹ SEJ is a formal method that calibrates experts based on their ability to estimate accurately their own uncertainty regarding relevant questions in their field of expertise. It penalizes both ignorance and overconfidence, and has been shown to perform well in a variety of contexts (Oppenheimer et al., 2016; Colson & Cooke, 2018). It yields a probabilistic estimate while avoiding some of the biases that arise in consensus-based expert judgements.

317 uncertainty urn, there is a known number of red and black balls (say 50 of each);
318 in the deeply uncertain urn, the total is known but the ratio is not. In general,
319 all else being equal, humans exhibit a preference for the less ambiguous gamble. In
320 sea-level rise projections, deep uncertainty is reflected in the spread among different
321 probabilistic projections – where there is substantial deep uncertainty, differing but
322 comparably justifiable approaches can yield substantially different probabilistic pro-
323 jections (A. M. Bakker et al., 2017; Wong et al., 2017; Le Cozannet, Manceau, &
324 Rohmer, 2017).

325 One source of deep uncertainty in future sea-level rise is the uncertainty in an-
326 thropogenic emissions. There is no clear way of estimating the relative probability
327 of different emissions futures, given the political, economic, and technological com-
328 plexities involved. For one context where such relative probabilities are necessary
329 – estimation of the social cost of carbon dioxide – National Academies of Sciences,
330 Engineering, and Medicine (2017) recommended the use of SEJ. But for sea level pro-
331 jection, such an approach is not necessary; projections conditional upon a plausible
332 range of emissions scenarios serve adequately. Recent projections have generally been
333 conditioned upon the Representative Concentration Pathways (RCPs) (Van Vuuren et
334 al., 2011) used in CMIP5, while new projections will likely increasingly use the CMIP6
335 ScenarioMIP RCP/Shared Socioeconomic Pathway pairings (O’Neill et al., 2016). A
336 few studies have also looked at 1.5°C and 2.0°C temperature stabilization scenarios
337 (see B. P. Horton et al., 2018, for a review).

338 Less avoidable is the deep uncertainty in ice sheet physics, especially that as-
339 sociated with potential instability of the Antarctic ice sheet. Two increasingly well
340 understood forms of ice-sheet instability are Marine Ice Sheet Instability (MISI) and
341 Marine Ice Cliff Instability (MICI). The potential for MISI arises when an ice sheet
342 sits below sea level on a reverse-sloped bed (i.e., a bed that gets shallower toward the
343 edge of the ice sheet) (Weertman, 1974; Schoof, 2007; Pattyn, 2018). In MISI, the ice
344 sheet becomes destabilized when ocean waters penetrate underneath the buttressing
345 ice shelf, causing the grounding line to retreat onto the reverse-sloped area. Because
346 of the reverse slope, as the grounding line retreats, the cross-section exposed to ocean
347 water increases, accelerating the rate of retreat. This instability proceeds until the
348 grounding line becomes pinned by a change in bed slope. Such a process may already
349 be underway in parts of the Amundsen Sea Embayment, West Antarctica (Joughin et
350 al., 2014; Rignot et al., 2014).

351 While as of AR5 the degree of ambiguity surrounding MISI led the IPCC to con-
352 clude that “theoretical considerations, current observations, numerical models, and
353 paleo records currently do not allow a quantification of the timing of the onset of such
354 an instability or of the magnitude of its multi-century contribution” (J. A. Church et
355 al., 2013, p. 1174), the process has subsequently become increasingly well represented
356 in ice-sheet models. A statistical model calibrated to observed grounding-line changes
357 and projected basal and surface melt changes under a moderately high emissions sce-
358 nario projected a 95th percentile Antarctic ice sheet contribution to GMSL of 30 cm
359 in 2100 and 72 cm in 2200, with modes of 10 cm in 2100 and both 6 cm and 49 cm
360 in 2200 (Ritz et al., 2015). Studies using the Parallel Ice Sheet Model, which incor-
361 porates MISI, found 0.1–0.4 m GMSL contribution under a high emissions scenario
362 (RCP 8.5) (Golledge et al., 2015), with a more recent version incorporating an ocean
363 dynamic feedback projecting 14 cm under the same scenario (Golledge et al., 2015).
364 Similarly, considering only MISI as a potential instability mechanism, the Penn State
365 Ice Sheet Model (DeConto & Pollard, 2016) found a modal projection of 15 cm and a
366 5th–95th percentile range of 0.1–0.4 m, while Ruckert et al. (2017) estimated 0.1 ± 0.1
367 m (1σ). Thus it appears extremely likely that – given current understanding of the
368 climate changes experienced by ice sheets – MISI alone cannot raise the 21st century
369 Antarctic GMSL contribution above about 0.4 m.

370 By contrast, MICI is currently shrouded in deeper uncertainty. The potential
371 for MICI arises from two processes: ice-shelf hydrofracturing and the gravitational
372 instability of ice cliffs. Hydrofracturing, driving by the pooling of rain or meltwater
373 on ice shelves, may lead to rapid loss of buttressing ice shelves and expose cliffs of ice
374 that are tens of meters tall directly to ocean water. Above a certain height, currently
375 unknown but apparently exceeding the highest observed ice cliffs on the planet today
376 (~ 100 m, Parizek et al. (2019)), the cliffs become gravitationally unstable. Cascading
377 collapse can then drive rapid ice-sheet retreat. The first continental-scale ice-sheet
378 model to incorporate MICI found the potential (under RCP 8.5) for a 21st century
379 Antarctic contribution to GMSL rise exceeding 1 m (DeConto & Pollard, 2016), but
380 crucial parameters in this model – such as the rate of susceptibility of ice shelves to
381 hydrofracturing and the maximum possible retreat rate of collapsing ice cliffs – are
382 poorly constrained by paleo-data (Edwards et al., 2019).

383 At present, MICI remains the primary driver of deep uncertainty in sea-level rise
384 projections. This deep uncertainty is particularly manifest in projections for high-
385 emissions scenarios for late in this century and beyond (Figure 1b-c). For example,
386 R. E. Kopp et al. (2017) constructed projections of GMSL and RSL change using either
387 Antarctic projections consistent with the assessment of the IPCC AR5 (J. A. Church et
388 al., 2013) [labeled as K14] or the MICI-incorporating projections of DeConto & Pollard
389 (2016) [labeled as DP16]. The K14 projections had a median Antarctic contribution
390 for RCP 8.5 in 2100 of 4 cm; the DP16 projections, a median contribution of 71 cm.
391 Overall, the two sets of GMSL projections differed little in 2050 (90% credible ranges
392 of 0.2–0.4 m under RCP 8.5 and 0.2–0.3 m under low emissions [RCP 2.6] for K14;
393 0.2–0.5 m for RCP 8.5 and 0.2–0.4 m under DP16) and in 2100 under low emissions
394 (0.3–0.8 m for K14 and 0.3–1.0 m for DP16), for which MICI was not a significant
395 factor. However, under high emissions for 2100 and beyond, the 90% credible intervals
396 for K14 and DP16 exhibited much less overlap: for 2100, 0.5–1.2 m for K14 and 0.9–
397 2.4 m for DP16; for 2200, 0.9–3.8 m for K14 and 5.6–9.6 m for DP16. The experts
398 participating in a recent SEJ study, informed by the literature debate about MICI
399 and MISA, appeared to split the difference: for a high-emissions scenario, their 90%
400 credible range in 2100 was 0.6–2.4 m, with a median of 1.1 m reflecting a strong skew
401 toward higher values in their assessment (Bamber et al., 2019).

402 Scientific progress will likely reduce the uncertainty and ambiguity associated
403 with MICI, but ice sheets are complex systems whose continental-scale behavior inti-
404 mately depends on their fine-scale physics. Even if it turns out that MICI is a danger
405 for farther in the future than indicated by early studies, there is no guarantee against
406 the scientific discovery of new modes of instability. The sensitivity of Antarctic pro-
407 jections to the inclusion of just two previously omitted processes thus highlights the
408 presence of deep uncertainty, especially under high-emissions futures (R. E. Kopp et
409 al., 2017; Wong et al., 2017; A. M. R. Bakker et al., 2017; A. M. Bakker et al., 2017).

410 Increasing scientific grappling with ice-sheet instability and other potential sea-
411 level-related surprises is reflected in the history of GMSL projections (A. J. Garner et
412 al., 2018). The earliest projections (e.g., Schneider & Chen, 1980) were simply scenarios
413 of ice-sheet instability, with no probabilities associated with them. The IPCC's First
414 Assessment Report in 1990 presented a range of high-emissions 21st century GMSL
415 projections from 0.3 to 1.1 m. With increasing scientific (over)confidence, this range
416 narrowed over time, such that the Fourth Assessment Report in 2007 presented a
417 5th–95th percentile range for a high-emissions scenario of 0.3 to 0.6 m. The report
418 acknowledged the potential for dynamic ice-sheet instability to increase this range by
419 up to 0.2 m, but this possibility was not incorporated in the bottom-line total and
420 often lost in citing literature. In response to criticism and with growing understanding
421 of MISA, the upper end of 2013's AR5 *likely* (at least 66% credible) range for RCP
422 8.5 reached 0.8 m, with buried textual language noting the potential for MISA to

423 contribute several decimeters more. Overall, this pattern suggests that the narrowing
424 ranges of the IPCC's first 17 years reflected "negative learning" (Oppenheimer et al.,
425 2008; A. J. Garner et al., 2018) leading to overconfidence and a lack of clarity, with
426 key caveats present in the text but not in the tables that serve as a key resource for
427 many users.

428 ***2.2.2 Robustness of bottom-up projections***

429 Bottom-up projections rely upon the underlying models used to calculate each
430 term in Eq. 2. The challenge of determining appropriate models, and the difficulty
431 in uncertainty assessment, is heightened with respect to the ice sheet contribution,
432 as noted in the previous section. However, difficulties also arise in other terms. For
433 example, it is generally assumed that GCM ensembles, such as those produced by
434 model intercomparison projects, provide a sufficient representation of uncertainty in
435 GMTSLR and DSL. Much has been written about the validity of probability distribu-
436 tions derived from climate model ensembles in other contexts (e.g., temperature and
437 precipitation fields), given that models are not independent or equally plausible (e.g.,
438 Tebaldi & Knutti, 2007). Techniques have been proposed to deal with issues of model
439 independence and quality (e.g. Sanderson et al., 2015; Knutti et al., 2017), but it is
440 unclear whether these techniques are applicable to GCM-derived sea level change pro-
441 jections (Collins, 2017). Some assessments have introduced expert judgement-based
442 broadening of GCM-based probability distributions in order to account for these is-
443 sues; for example, AR5 interpreted CMIP5-based central 90% ranges as 'likely' (at
444 least 66% probability).

445 Related questions apply to GIA and VLM projections. Various approaches have
446 been taken to account for these terms to date, including GIA models and extrapolation
447 of the linear signal from tide gauge and/or GPS records. Approaches that use the
448 former method generally rely on the assumption that GIA is captured by one or a small
449 number of forward simulations, often employing an over-simplified one-dimensional
450 representation of the interior structure of the Earth, and can be treated as linear over
451 time periods of interest. In certain regions, including the United States East Coast,
452 substantial spread in present-day GIA predictions arises due to uncertainty in GIA
453 model parameters and ice histories (Piecuch, Huybers, et al., 2018). The assumption
454 of linearity is valid in most regions on centennial timescales, but in regions with low
455 upper-mantle viscosity, such as West Antarctica (Barletta et al., 2018; Hay et al.,
456 2017), Alaska (Sato et al., 2012), and Iceland (Auriac et al., 2013), GIA rate changes
457 can be significant on a multidecadal timescale. The failures of this assumption also
458 has important implications for GRD patterns, which in integrated projections are
459 generally assumed to reflect purely elastic processes and to be constant on centennial
460 timescales. In many cases, integrated projections also do not fully account for changes
461 in the within-region pattern of mass change (e.g., which parts of Greenland are losing
462 mass), with potential implications for population centers (Larour et al., 2017; Mitrovica
463 et al., 2018).

464 Projections that extrapolate observed trends to estimate VLM (e.g., R. E. Kopp
465 et al., 2014) can account for non-GIA VLM, but assume centennial-timescale linearity
466 for both GIA and non-GIA VLM. This assumption is severely limited for non-GIA
467 VLM due to processes that are stochastic, such as tectonics, or directly anthropogenic,
468 such as subsidence due to groundwater and/or hydrocarbon extraction (e.g., Tanaka
469 & Heki, 2014; Keogh & Törnqvist, 2019).

470 Considerations involved in the combination of different terms have, in general,
471 received less attention relative to the models applied to each component. However,
472 bottom-up projections must also make an assumption about the covariance (or de-
473 pendence) of the terms in Eq. 2. These dependencies, and their treatments to date,

474 are reviewed by Le Bars (2018), who find that assumptions have varied widely, rang-
475 ing from complete dependence to complete independence across terms. Several recent
476 studies (Le Bars, 2018; Oppenheimer et al., 2016; Little et al., 2013; R. E. Kopp et al.,
477 2014; de Winter et al., 2017) show that high inter-term dependence can substantially
478 increase high-end projections.

479 However, understanding and quantifying the physical basis for dependence is
480 difficult. Individual terms may be correlated via climate sensitivity (i.e., if climate
481 warms faster than expected, it is reasonable to expect a higher contribution from
482 many sea level components). This correlation could be accounted for by calculating
483 each term on a GCM-specific basis, for those components which are represented by
484 climate models (e.g. GMTSL and DSL, or GMTSL and the glacier contribution, if the
485 glacier contribution is derived from a GCM-specific forcing). A quantitative accounting
486 for correlations is more difficult for terms that cannot be directly traced to a GCM.

487 Correlations (either positive or negative) may also arise due to interactions and
488 feedbacks between terms that are unrepresented and/or poorly-represented in models.
489 Two examples are: interactions between GIS mass loss and US East Coast DSL change
490 (e.g., R. E. Kopp et al., 2010) or Antarctic mass loss and climate sensitivity (e.g.,
491 Bronselaer et al., 2018). To date, there has been little attempt to address these
492 missing feedbacks in bottom-up projections. Coupling ice-sheet models to GCMs (e.g.,
493 Golledge et al., 2019) will help in characterizing these feedbacks, but the computational
494 expense of fully coupled GCMs poses a challenge to uncertainty quantification, so
495 offline calculations (e.g., Howard et al., 2014) and reduced-form representations of
496 these relationships will remain useful for the foreseeable future. Dependencies can also
497 extend to components of high-frequency sea level variability (next section), either due
498 to common drivers (e.g., Little et al., 2015) and/or interactions (such as nonlinear
499 interactions between RSL, tides, surge, and waves; (e.g. Arns et al., 2017; Lewis et al.,
500 2019)).

501 ***2.2.3 The utility of probabilistic approaches***

502 Based in large part on the underlying epistemic goal, B. P. Horton et al. (2018)
503 distinguish between three categories of bottom-up projections. Central-range projec-
504 tions focus on characterizing a central tendency of sea-level rise, generally represented
505 by a median and an upper and lower quantile, conditional upon an assumed emissions
506 scenario. High-end projections focus on characterizing physically plausible, high-end
507 scenarios of sea-level rise. Probabilistic projections attempt to serve both epistemic
508 goals at once, by estimate a full probability distribution of future sea-level change,
509 conditional upon an emissions scenario.

510 Probabilistic projections have become increasingly common in both the academic
511 literature (e.g., R. E. Kopp et al., 2014, 2017; Jackson & Jevrejeva, 2016; Grinsted et
512 al., 2015; Nauels et al., 2017; Jackson et al., 2018; Rasmussen et al., 2018) and in
513 assessment reports (e.g., R. Horton et al., 2015; Griggs et al., 2017; R. Kopp et al.,
514 2016; Boesch et al., 2018; Callahan et al., 2017; Dalton et al., 2017; Douglas et al., 2016;
515 Miller et al., 2018), motivated by a few key perceived benefits. First, they provide a
516 useful framework for summarizing and synthesizing existing knowledge regarding the
517 different driving processes, including non-traditional methods that can be used in the
518 presence of deep uncertainty, such as SEJ. Second, they align with the increasing
519 ubiquity of imprecise probabilistic language in assessment reports, such as the IPCC's
520 use of the term 'likely' to mean 'at least 66% probable' and 'very likely' to mean 'at
521 least 90% probable'. Third, they appeal to a specific class of stakeholders, namely
522 those oriented toward benefit-cost analysis and financial risk analysis (e.g., New York
523 City Panel on Climate Change, 2013; Houser et al., 2015). In this last regard, it is
524 notable that some of the users of early probabilistic projections included a New York

525 City government led by Michael Bloomberg (New York City Panel on Climate Change,
526 2013) and a non-governmental ‘risk committee’ led by Bloomberg and two other senior
527 statesmen with finance backgrounds (Bloomberg et al., 2014).

528 Despite these perceived benefits, probabilistic projections are conditional upon
529 emissions, and, more generally, the methodological assumptions employed in the con-
530 struction of the probability distribution. For processes subject to deep uncertainty,
531 alternative justifiable approaches to constructing a probability distribution can yield
532 quite divergent answers (e.g., A. M. Bakker et al., 2017; Le Cozannet, Manceau, &
533 Rohmer, 2017). One approach to tackling ambiguity is to employ multiple probab-
534 ility distributions, which can be interpreted as representing the informed judgement of
535 different idealized experts. The weighting of the different experts could be based on
536 performance, as in SEJ, but might also depend upon characteristics of the relevant
537 decision-makers, such as their degree of ambiguity aversion (e.g., Le Cozannet et al.,
538 2019).

539 Despite techniques that attempt to address these limitations, there remain ques-
540 tions about the usability of probabilistic approaches (Hinkel et al., 2019). The most
541 useful approaches to summarizing scientific knowledge may not always be the most use-
542 ful approaches for decisionmakers, and – though probabilistic projections have been
543 welcomed by some – they have also led to some anxiety. Behar et al. (2017) suggest
544 that some stakeholders prefer scenarios (sometimes informed by probabilistic projec-
545 tions) rather than the direct use of probabilistic projections (e.g., Sweet et al., 2017).
546 Range-spanning deterministic scenarios remain commonplace and are a valid approach
547 for many decision makers. Some end users may be better served by putting the focus
548 on critical thresholds of exposure and then working backwards to assess likelihoods
549 over time, rather than starting with scenarios of sea level over time, but this approach
550 requires closer integration of sea-level science and decision making (see Section 6).

551 **3 Projections of extreme sea level change and associated flooding**

552 The effects of RSL rise are initially felt primarily not through permanent inun-
553 dation but through increases in the frequency of extreme sea levels (ESLs). ESLs arise
554 through the superposition of mean RSL, tides, storm surges, and lesser-magnitude
555 processes operating over a range of frequencies. Where tidal ranges are small, as
556 along the U.S. Gulf of Mexico, wind-forced surge is typically the dominant driver of
557 ESLs (Merrifield et al., 2013); where narrow continental shelves inhibit sizable storm
558 surges from forming (Tebaldi et al., 2012), like along island coasts, wave effects and/or
559 higher astronomical tides during high sea level anomalies become dominant drivers
560 (Serafin et al., 2017; Rueda et al., 2016). The severity of impacts – whether through
561 overland flooding, or through indirect effects like infiltration or degradation of wastew-
562 ater (Flood & Cahoon, 2011), freshwater supplies (Sukop et al., 2018) or stormwater
563 (Obeysekeru et al., 2011) systems – varies accordingly.

564 Statistical models based upon parametric distributions estimated from long-term
565 tide-gauge measurements are a primary source for location-specific probabilistic ESL
566 hazard assessments. Most studies fit a 3-parameter extreme-value distribution, such
567 as the Generalized Extreme Value (GEV) or Generalized Pareto Distribution (GPD)
568 (Tebaldi et al., 2012; Wahl et al., 2017), to tide-gauge observations. For example,
569 Figure 2 shows a GPD fit to historical ESLs at the Battery, New York City, USA
570 (Buchanan et al., 2016). Compared to two-parameter distributions, such as the Gum-
571 bel distribution (J. Hunter, 2010, 2012), three-parameter distributions allow for more
572 realistic estimates of rare-event frequency and the associated uncertainty (Buchanan
573 et al., 2017). Heavy-tailed distributions are common in tropical-cyclone prone loca-
574 tions, where storm surge estimates associated, for example, with a 1% average annual
575 probability event can be extremely large (Hall et al., 2016; Wahl et al., 2017). Regional

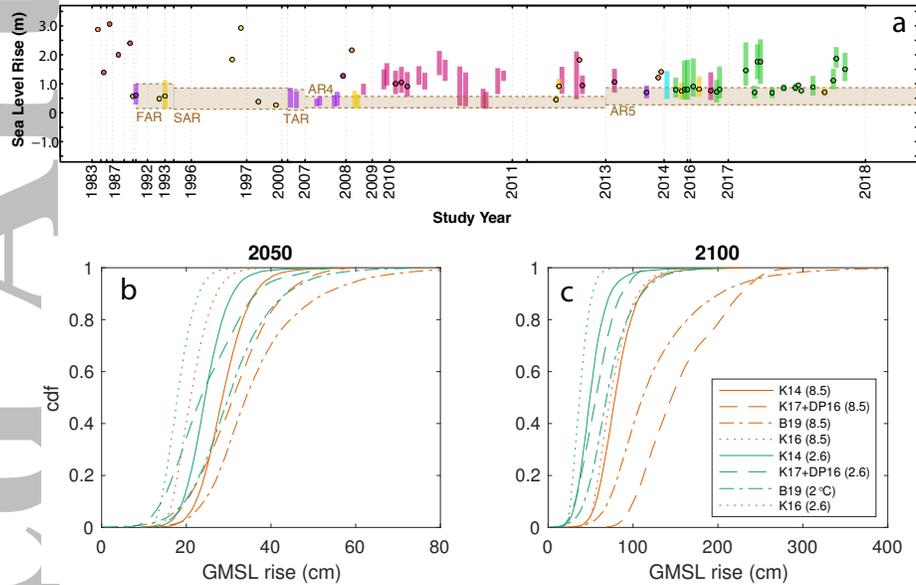


Figure 1. (a) Evolution of 21st century GMSL rise projections for high-emissions scenarios. Reproduced from A. J. Garner et al. (2018). Bar and point colors correspond to the methodology used by each study: top-down semiempirical (pink), bottom-up literature synthesis (red), bottom-up model hybrid (orange), bottom-up model synthesis (yellow), bottom-up probabilistic (green), bottom-up expert judgment (cyan), other (blue), and IPCC reports (purple). Tan-shaded regions and dashed lines represent the ranges of GMSL rise from the IPCC reports. (b-c) Cumulative distribution functions of (b) 2050 and (c) 2100 GMSL rise projections under RCP 8.5 (orange) and a low emissions scenario (either RCP 2.6 or 2° stabilization, blue). Solid, dashed, and dot-dashed lines represent CDFs of bottom-up projections using ice-sheet projections from R. E. Kopp et al. (2014) [K14], R. E. Kopp et al. (2017) using the AIS projections of DeConto & Pollard (2016) [K17+DP16], and Bamber et al. (2019) [B19]. Dotted lines represents the top-down semi-empirical projection of R. E. Kopp et al. (2016), calibrated using the paleo-temperature reconstruction of Mann et al. (2009) [K16].

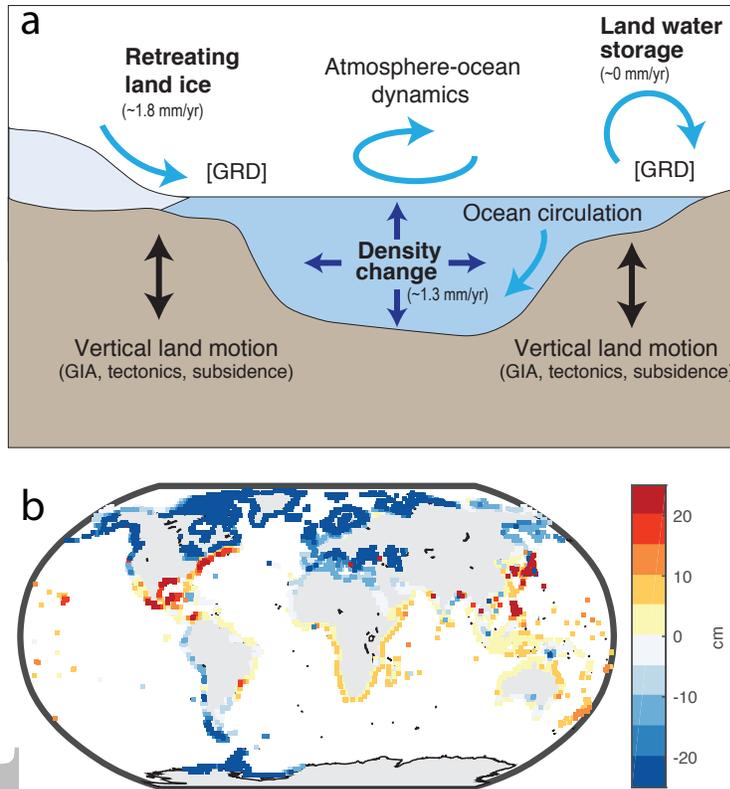


Figure 2. (a) Factors driving GMSL and RSL change. Bold labels identify process that drive GMSL change, with approximate average contributions over 1993–2017 shown (WCRP Global Sea Level Budget Group, 2018; Zemp et al., 2019; Rignot et al., 2019; Mouginito et al., 2019). Adapted from Milne et al. (2009). (b) Difference between median RSL and median GMSL projection under RCP 8.5 in 2100, based on the projections of R. E. Kopp et al. (2014).

576 frequency analysis has occasionally been used with tide-gauge data to estimate ESL
577 probabilities while overcoming some of the spatial limitations inherent to the global
578 tide gauge network (Hall et al., 2016), but to our knowledge more sophisticated spa-
579 tiotemporal extreme value methods (e.g., Reich & Shaby, 2012) have not yet been
580 employed in this context. Recent advances using satellite altimetry show promise in
581 the ability to complement tide-gauge observations by predicting coastal ESLs using off-
582 shore ESL observations combined with continental shelf characteristics (Woodworth
583 & Menéndez, 2015; Lobeto et al., 2018).

584 Dynamic ocean circulation models can also be used to simulate storm tides and
585 estimate historical and current ESL probabilities. These models are typically driven
586 by global atmospheric reanalyses and, in regions not impacted by tropical cyclones,
587 yield ESL distributions similar to those estimated from tide gauges (Muis et al., 2016).
588 Higher-resolution atmospheric fields are needed to simulate the low-probability storm-
589 tide heights associated with historical tropical cyclones (Vousdoukas et al., 2018).
590 Dynamic simulations have three potential advantages over statistical methods. They
591 can: (1) provide predictions for locations where there are no tide gauges, (2) better
592 resolve rare-event probabilities and overcome record length limitations by simulating
593 large numbers of synthetic storms under a specified climatology (Lin et al., 2012;
594 Haigh et al., 2014), and (3) physically account for non-stationarity associated with
595 climate variability and climate change. Dynamic simulations can also incorporate
596 high-frequency wave effects (Vitousek et al., 2017; Vousdoukas et al., 2018), which
597 have not traditionally been measured by tide gauge records (but see Sweet et al.,
598 2015) but are of particular concern in areas where erosion is primarily driven by waves
599 rather than by surge, as along the U.S. West Coast (Sweet et al., 2015; Serafin et al.,
600 2017). On the other hand, dynamic simulations are subject to the limitations of the
601 driving reanalysis data sets and ultimately must rely on tide-gauge observations for
602 validation. Dynamic approaches are commonly used in the private sector, for example
603 by risk analysis companies serving the insurance sector (e.g., S. Hsiang et al., 2017).

604 In addition to long-term trends, ESL probabilities exhibit seasonal and long-tidal
605 cycles and climate mode covariability (e.g., Menéndez & Woodworth, 2010; Haigh et
606 al., 2011; Aucan et al., 2012; Marcos et al., 2015; Woodworth & Menéndez, 2015;
607 Wahl & Chambers, 2016), which can enhance flooding when contributing processes
608 align (Sweet et al., 2016; Thompson et al., 2019). Diagnosing contributory processes
609 within statistical ESL models can provide a degree of predictability if the processes are
610 deterministic in nature or predictable to some degree by climate models (Menendez et
611 al., 2009; Menéndez & Woodworth, 2010; Sweet & Park, 2014; Widlansky et al., 2017;
612 Sweet et al., 2018). Given the limited length of the tide-gauge record and thus the
613 limited sampling of rare events like landfalling tropical cyclones, however, identifying
614 changes in the tail shape of an extreme value distribution is exceptionally challenging,
615 pointing to the value of synthetic tropical cyclones generation in estimating historical
616 and current probabilities. Paleostorm records (e.g., Brandon et al., 2014), can com-
617 plement and extend the tide-gauge records by centuries, but there has so far been
618 insufficient analysis to determine the quantitative utility of such records in improving
619 return-period estimates.

620 Future ESL frequencies and impacts depend upon local RSL rise and changes in
621 the characteristics of coastal storms, tides, and waves, as well as their possible interde-
622 pendencies (e.g., Little et al., 2015; Arns et al., 2017; Lewis et al., 2019). Projections
623 based upon statistical models typically assume that RSL change is the only driver of
624 changes in the ESL distribution (e.g., there are no changes in storm surge characteris-
625 tics or tidal range) (e.g., Tebaldi et al., 2012; J. Hunter, 2012; R. E. Kopp et al., 2014;
626 Hall et al., 2016; Buchanan et al., 2016; Buchanan et al., 2017). For example, Figure
627 3 shows the change in the expected frequency of ESLs at the Battery under differ-
628 ent probability distributions for RSL change corresponding to the GMSL projections

629 shown in Figure 1b-c. Observations and dynamic simulations confirm that RSL change
630 is increasingly the dominant driver of ESL change, but also show the limitations of the
631 assumption that the ESL distribution is otherwise stationary. Historically, although
632 attribution is difficult, there is evidence of regional changes in storm activity, such as
633 increasing North Atlantic hurricane activity since the 1970s (Kossin et al., 2017). While
634 most historical changes in ESL have tracked changes in mean sea level (Menéndez &
635 Woodworth, 2010), there are exceptions, such as within major estuaries important
636 to shipping from harbor-channel deepening (S. A. Talke et al., 2014; Familkhalili &
637 Talke, 2016). Model simulations under future climate and RSL conditions find evi-
638 dence of regional changes in tidal ranges and storm surge (Schindelegger et al., 2018;
639 Vousdoukas et al., 2018). Tropical cyclone intensity is projected to increase globally
640 (Knutson et al., 2010; Emanuel, 2013; Knutson et al., 2015), but it is unclear whether
641 the overall number of hurricanes will decrease (Knutson et al., 2015; Walsh et al., 2014)
642 or increase (Emanuel, 2013; Bhatia et al., 2018). Projected changes in storm tracks
643 further complicate inferences about associated changes in tropical cyclone-driven ESLs
644 at specific locations (A. J. Garner et al., 2017). These analyses indicate that, while
645 adding projected RSL changes to historical ESL probabilities provides a good first ap-
646 proximation of ESL changes, in some areas this approach will lead to an underestimate
647 of the associated hazard and its impacts (S. Hsiang et al., 2017).

648 Two useful metrics for planning, derived from combining probabilistic RSL pro-
649 jections and extreme value distributions, are frequency amplification factors and sea-
650 level allowances. A frequency amplification factor is an estimate of the change in the
651 expected frequency of a particular water level (J. Hunter, 2010; Buchanan et al., 2017;
652 Vitousek et al., 2017; A. J. Garner et al., 2017). For example, if a current 10% aver-
653 age annual probability ESL is projected to have a frequency amplification factor of
654 3 in 2050, this means that – integrating across uncertainty in RSL projections – it is
655 expected with 30% average annual probability in 2050. In Figure 3, frequency ampli-
656 fication factors are reflected in the vertical distance between the historical curve and the
657 projected curves. Sea-level allowances are the height adjustments that maintain the
658 current annual expected probability of flooding of a particular ESL (J. Hunter, 2012;
659 Buchanan et al., 2016). The shape of the extreme value distribution (in particular,
660 the approximately log-linear relationship between the expected number of events and
661 ESL height) implies that, with an uncertain RSL distribution, an estimated sea-level
662 allowance will always be greater than the expected RSL rise (Buchanan et al., 2016).
663 In Figure 3, sea-level allowances are reflected in the horizontal distance between the
664 historical curve and the projected curves. However, both of these metrics should be
665 used by decision-makers with care, as they are often derived from a single estimated
666 probability distribution for RSL. In the presence of deep uncertainty, consideration
667 of multiple probability distribution – yielding multiple amplification factors and al-
668 lowances – is a more cautious approach (Buchanan et al., 2016). Figure 3 shows that,
669 at the Battery, the frequency amplification of the largest historical ESL (2.6 m, asso-
670 ciated with Hurricane Sandy in 2012) is relatively well-constrained for 2050 (1.9–2.5x)
671 but poorly constrained for 2100 (6–85x under low emissions; 290–11,000x under high
672 emissions), reflecting the deep uncertainty in the associated sea-level projections.

673 While ESLs are often a valid proxy for coastal flooding, they do not tell a com-
674 plete story. ESLs provide only a one-dimensional measure for a three-dimensional
675 (depth and inland extent) impact. While ‘bathtub’ models represent flooding extent
676 by projecting ESLs, as measured at tide gauges, onto topography (e.g., as measured
677 via high-resolution LIDAR) without accounting for local atmosphere/ocean dynamics
678 or the frictional interference of the natural or built environment to determine extent
679 of flooding, hydrodynamic models accounting for the flow of water in the ocean and
680 onto land reveal a more complex story (Lin et al., 2010, 2014; J. Wang et al., 2012;
681 Orton et al., 2015; Deb & Ferreira, 2017). Nonlinear hydrodynamic responses vary spa-
682 tially as a function of coastal topography, land use, and storm characteristics (Barnard

683 et al., 2019; Passeri et al., 2018; Anarde et al., 2018; Glass et al., 2018; Passeri et al.,
 684 2018; Ding et al., 2013; Zhang et al., 2013; Woodruff et al., 2013; Atkinson et al., 2013;
 685 Ferreira et al., 2014; Mousavi et al., 2011; Smith et al., 2010; J. Wang et al., 2012).
 686 Most studies using hydrodynamic models have focused on the effects of storm surge
 687 (e.g., Muis et al., 2016), though in some areas precipitation-driven flooding is of crucial
 688 importance (Wahl & Chambers, 2015; Wright et al., 2019). Storm surge and upland
 689 riverine forcing acting together can lead to higher extreme water levels (Moftakhari
 690 et al., 2017). Elevated groundwater tables are and will be an increasingly important
 691 factor for future flood risk (Anderson et al., 2018), and in developed areas, flooding
 692 also depends on the flow of stormwater through drainage networks (Obeysekera et al.,
 693 2011).

694 ‘Bathtub’ models are often used to assess coastal flood exposure (e.g., Strauss
 695 et al., 2012), and they are well-suited for assessing exposure to permanent inundation
 696 induced by RSL rise. For example, Rasmussen et al. (2018) found that about 30–110
 697 million people around the world currently live on land would be exposed to permanent
 698 flooding by 2150 under a 1.5°C stabilization scenario, compared to 30–140 million under
 699 a 2.0°C scenario. The “total water level” approach to assessing exposure associated
 700 with ESLs treats transient events the same as a permanent flooding event. Using such
 701 an approach, and assuming adaptation measures that maintained a constant average
 702 annual probability of flooding, Hallegatte et al. (2013) found that 20 cm of GMSL rise
 703 would increase average annual global flood losses by \$60 billion. However, the total
 704 water level approach is not always adequate; Gallien (2016) found in one case study
 705 that the important role of wave action in coastal flooding in California led to its sys-
 706 tematic failure. Hydrodynamic models are used in both the academic literature and
 707 the private sector to provide more accurate exposure estimates. For example, Aerts
 708 et al. (2013) combined synthetic tropical cyclones (Lin et al., 2012), a hydrodynamic
 709 model of coastal surge, a spatial database of buildings, and a flooding depth damage
 710 function to estimate the return period of different levels of coastal flood damage at
 711 New York City. A similar approach was taken by S. Hsiang et al. (2017), who incor-
 712 porated the sea-level rise projections of R. E. Kopp et al. (2014) into a hydrodynamic
 713 model to assess average annual tropical and extratropical cyclone losses along the US
 714 Atlantic and Gulf Coasts under future sea level and climate, assuming the current
 715 distribution of people and property. They found that – in the absence of adaptive
 716 measure – GMSL rise currently increases expected annual tropical and extratropical
 717 cyclone damages in the US by about 0.1% of GDP per meter GMSL rise, increasing to
 718 about 0.15% per meter at one meter of GMSL rise. Accounting for projected changes
 719 in tropical cyclone intensity approximately doubles the damage for RCP 8.5 toward
 720 the end of the 21st century.

721 **4 Coastal flooding in a dynamic physical environment**

722 The coast is not simply a static background over which water flows, though most
 723 studies of coastal flood hazards treat it as such. It instead exhibits dynamic growth
 724 and destruction of land and ecosystems (Barnard et al., 2019; Le Cozannet et al., 2019;
 725 Passeri et al., 2018; Anarde et al., 2018; Glass et al., 2018). Waves, currents and tides
 726 redistribute sediment along and across the coastal zone, resulting in shoreline dynamics
 727 significantly different than would occur in a static coastal landscape (Murray et al.,
 728 2009; Paola et al., 2011; Ashton et al., 2008; Payo et al., 2016). Such departures from
 729 the static coast assumption are particularly evident in low-lying environments, such as
 730 barrier islands and fluvial deltas, both among the most dynamic landscapes on Earth.

731 Barrier islands are composed of three regions: the shoreface, continuously re-
 732 worked by waves and tides; the subaerial portion, typically a few meters above sea
 733 level; and the back-barrier environment, which generally comprises salt marshes, la-
 734 goons, and tidal flats (Figure 4a). In order for barrier systems to persist and migrate

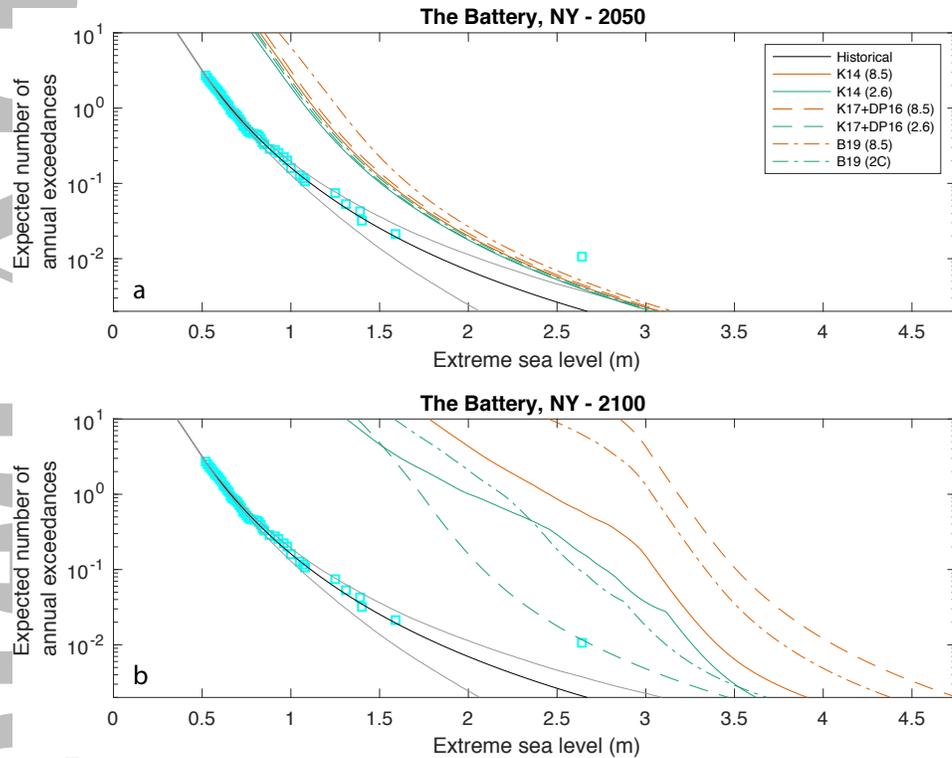


Figure 3. Extreme sea level distributions for the Battery, New York, in (a) 2050 and (b) 2100, under six RSL probability distributions corresponding to the six GMSL probability distributions in Fig. 1. Cyan boxes show historical ESLs, placed by empirical frequency over the tide gauge record; black shows the historical expected ESL distribution (grey indicates 17th–83rd percentile credible range). Blue curves represent low-emissions projections (RCP 2.6); orange curves represent high-emissions projections (RCP 8.5). Solid, dashed, and dot-dashed lines represent expected values using ice-sheet projections from R. E. Kopp et al. (2014), R. E. Kopp et al. (2017) using the AIS projections of DeConto & Pollard (2016), and Bamber et al. (2019).

735 under RSL rise, shoreface sediment must be transported onto and behind the barrier
736 (Figure 4a). Storm-induced flood-tidal delta formation and overwash fan deposition
737 are the two most significant mechanisms that transport sediment to the back-barrier
738 environment (Dillon, 1970; Pierce, 1970; Fitzgerald et al., 1984; C. Donnelly et al.,
739 2006; Matias et al., 2008; Carruthers et al., 2013; Rogers et al., 2015; Nienhuis & Ashton,
740 2016). This onshore sediment movement by tides and overwash events, as well
741 as offshore sediment movement into deeper waters from the upper shoreface (Bruun,
742 1962; Leatherman, 1983; C. Donnelly et al., 2006; Lorenzo-Trueba & Ashton, 2014),
743 enhances barrier shoreline retreat beyond what would be expected from just passive
744 flooding (Figure 4b).

745 Similar to that of barrier islands, the cross-shore geometry of deltaic environ-
746 ments can be conceptualized in terms of coupled and adjacent environments (Swenson
747 et al., 2000; Lorenzo-Trueba et al., 2009; Paola et al., 2011; Parker et al., 2008). Con-
748 nected at the shoreline, these environments include a deltaic plain, which generally
749 exhibits low topographic relief (i.e., $\sim 1/10,000$), and an offshore region with typically
750 steeper gradients (Figure 4c). The shoreline can migrate either seawards or land-
751 wards as a function of the magnitude of riverine sediment supply, wave energy, RSL
752 rise rate, and the fraction of sediment that deposits on the deltaic plain versus the
753 offshore region (Swenson et al., 2000, 2005; Parker et al., 2008; Paola et al., 2011).
754 To illustrate how shoreline behavior can substantially deviate from that captured by
755 passive flooding, consider two different scenarios in terms of sediment supply. Under
756 sufficient sediment supply, the shoreline can advance seaward, which in turn results
757 in the expansion of the deltaic plain despite RSL rise (Figure 4d). In contrast, under
758 a shutdown in sediment supply caused by dam construction, flow diversions from the
759 established river, or artificial embankments that prevent sediment exchange between
760 the river and its floodplain, the deltaic plain progressively inundates as sea-level rises.
761 Given the low topographic relief, inundation of the deltaic plain can result in rapid
762 rates of shoreline retreat (Figure 4c), and the abandoned deltaic lobe can be reworked
763 at very fast rates (Komar, 1973; Rodriguez et al., 2000; Nienhuis & Ashton, 2016).

764 The sketches in Figure 4, although highly simplified, emphasize that RSL rise
765 can do more than just passively inundate the landscape. In fact, the evolution of
766 coastal environments is the result of a complex interplay between different regions,
767 both landwards and seawards of the shoreline.

768 For instance, previous work suggests that two-way feedbacks between barrier is-
769 lands and their associated backbarrier environments can result in threshold behaviors
770 that can in turn lead to a whole-scale reorganization of the barrier system (Walters
771 et al., 2014; Carrasco et al., 2016; Deaton et al., 2017; Lorenzo-Trueba & Mariotti,
772 2017; Lauzon et al., 2018; FitzGerald et al., 2018). RSL rise and wave action can
773 cause not only barrier shoreline retreat, but also drastic changes in backbarrier marsh
774 vegetation, including marsh loss (J. P. Donnelly & Bertness, 2001; Kirwan & Mego-
775 nical, 2013; Mariotti & Fagherazzi, 2013; Lorenzo-Trueba & Mariotti, 2017). The
776 loss of marshlands would change the hypsometry of the backbarrier, increasing tidal
777 exchange between the ocean and backbarrier, and enhancing the rate of barrier land-
778 ward migration, which in turn could accelerate barrier disintegration and drowning
779 (Lorenzo-Trueba & Mariotti, 2017; FitzGerald et al., 2018). In general, this work
780 highlights that backbarrier environment characteristics that are typically not directly
781 related to barrier evolution – such as the extent of marsh platforms, lagoon fetch,
782 suspended sediment concentrations in the lagoon, and the mainland slope – could play
783 a major role in the long-term barrier response to RSL rise.

784 Fluvial deltas are also complex systems that involve a complex web of coupled
785 biologic and physical processes (Day et al., 2008; Paola et al., 2011). Plant matter
786 accumulation, which in addition to river sediment supply contributes to maintaining
787 the deltaic plain above sea level (Day et al., 2007; Paola et al., 2011), can be signifi-

788 cantly reduced by a lowering of the water table elevation (Gambolati et al., 2006; van
789 Asselen et al., 2009) or a shift in the location of the freshwater-saltwater boundary.
790 Such a reduction in organic matter accumulation on the deltaic plain during sea-level
791 rise can in turn amplify the speed of shoreline retreat (Lorenzo-Trueba et al., 2012).

792 With the presence of coastal communities, human responses to coastal change
793 provide additional feedbacks to coastal environments, suggesting the possibility of
794 emergent interactions at multidecadal time scales (Werner & McNamara, 2007; Jin et
795 al., 2013; Lazarus et al., 2016; Miselis & Lorenzo-Trueba, 2017). Human responses
796 intended to preserve coastal buildings and infrastructure – such as building seawalls,
797 constructing groynes, nourishing beaches, stabilizing inlets, or armoring updrift head-
798 lands – have accumulated to the point where the evolution of coastal landscapes cannot
799 be considered to be caused by nature alone (Nordstrom, 1994; Werner & McNamara,
800 2007; Hapke et al., 2013; Lazarus et al., 2016; Lazarus & Goldstein, 2019). The natural
801 dynamics described in Figure 4 are still at play, but are heavily affected by human ac-
802 tivities, development, and land-use changes. Typically, engineering activities on devel-
803 oped barrier islands prevent or counteract overwash, thereby reducing barrier islands'
804 average elevation above sea level (Rogers et al., 2015; Miselis & Lorenzo-Trueba, 2017).
805 Additionally, developed barrier stretches are more likely to present steeper shorefaces,
806 often associated with beach nourishment activities, and deeper backbarrier lagoons
807 due to dredging activities (Miselis & Lorenzo-Trueba, 2017). Looking to the future, a
808 key question is whether such human responses may make drowning of barrier systems
809 more likely (Rogers et al., 2015; Miselis & Lorenzo-Trueba, 2017). The same question
810 applies to fluvial deltas, which often experience a reduction in sediment supply to
811 their floodplains due to the construction of dams and levees, as well as an increase in
812 subsidence rates in the deltaic plain due to water and hydrocarbon extraction (Paola
813 et al., 2011; J. P. Syvitski & Saito, 2007; J. P. M. Syvitski et al., 2009).

814 Overall, in order to assess future flood risks in low-lying coastal areas, analyses
815 must go beyond passive flooding models discussed in section 3; models of the coupled
816 evolution of coastal landscapes and human activities over multi-decadal time scales are
817 needed. A significant challenge in this regard is the need to consider the cumulative
818 effect of short-lived events (e.g., single storms). Engineering approaches have made
819 significant progress in assessing the vulnerability of residential structures to storm
820 surge over single storm events (Lin et al., 2010, 2014; Orton et al., 2015; Hatzikyriakou
821 et al., 2016). The X-Beach model (Roelvink et al., 2009), which couples hydrodynamics
822 and sediment transport to quantify morphological change, has been used to reproduce
823 barrier changes during individual storm events (McCall et al., 2010; Almeida et al.,
824 2017; Lindemer et al., 2010). Such modeling efforts, however, are highly calibrated
825 and are difficult to extrapolate over multiple storms.

826 On the other end of the spectrum, long-term geologic models for coastal change no
827 longer use laboratory-validated sediment transport relationships, but rather use con-
828 ceptual relationships between barrier geometry and barrier island movement (Cowell et
829 al., 1995; Wolinsky & Murray, 2009; Stolper et al., 2005; Storms, 2003; Masetti et al.,
830 2008; Moore et al., 2010; Lorenzo-Trueba & Ashton, 2014). The initial, 'morphokine-
831 matic' wave of these models is based upon the conservation of mass and maintenance of
832 an equilibrium configuration (Cowell et al., 1995; Wolinsky & Murray, 2009; Stolper et
833 al., 2005; Moore et al., 2010). The second, 'morphodynamic' wave of models accounts
834 for sediment fluxes along the shoreface and overwash processes (Storms, 2003; Masetti
835 et al., 2008; Lorenzo-Trueba & Ashton, 2014). Although quantitative understanding
836 of the relative roles of overwash fluxes, shoreface dynamics, and backbarrier sedimen-
837 tation processes in the response of barriers to environmental change remains lacking,
838 the simplicity of some of these models (e.g., Lorenzo-Trueba & Ashton, 2014; Nien-
839 huis & Lorenzo-Trueba, 2019) allows for model extensions that incorporate additional
840 physical and biological processes, as well as human interactions.

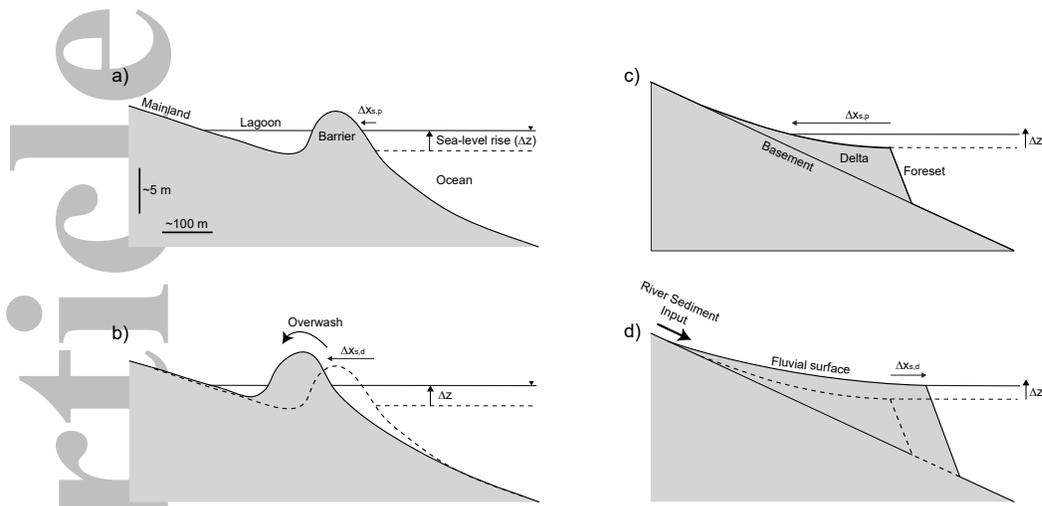


Figure 4. Conceptual sketches for both barrier (a and b) and deltaic systems (c and d) illustrating the difference in shoreline change under passive flooding ($\Delta x_{s,p}$) and dynamic landscape ($\Delta x_{s,d}$) scenarios. In barrier island systems, the shoreline can retreat faster in the dynamic landscape scenario (i.e., $\Delta x_{s,d} \gg \Delta x_{s,p}$) as overwash and tidal fluxes move sediment from the ocean side to the lagoon side of the barrier (b). In the case of fluvial deltas, when riverine sediment supply is large enough, the shoreline can migrate seawards despite sea-level rise (d).

841 Further work is needed to bridge the gap between engineering and geologic ap-
 842 proaches and construct morphodynamic models that can integrate over multiple storm
 843 events and include post-storm recovery and fair weather action that occurs between
 844 storms. Models also need to account for the complex interplay of the different regions
 845 or environments – from the onshore subaerial and lagoonal components, through the
 846 surf zone, and seawards onto the continental shelf itself – as well as for feedbacks be-
 847 tween natural processes and human activities (Werner & McNamara, 2007; Lazarus et
 848 al., 2016; Lazarus & Goldstein, 2019).

849 5 Coastal flooding in a dynamic human environment

850 Just as physical and ecological coastal systems are dynamic, not simply static
 851 recipients of flooding, so too is the human coast. Socioeconomic analyses of future
 852 impacts that treat the distribution of people and capital as static provide useful first-
 853 order information to inform risk assessment. However, these analyses overlook crucial
 854 dynamics, such as those associated with the movement of capital (e.g., shifts in in-
 855 vestment, employment opportunities, and the availability of amenities such as schools)
 856 and people (e.g., immobility, temporary displacement, and permanent migration) to
 857 and from the coast. RSL change, coastal flooding, and ensuing physical and ecological
 858 changes interact with these human dynamics in complex ways. Planned adaptation
 859 strategies that seek to reduce exposure and vulnerability need to be responsive to
 860 these dynamics and recognize their own role in shaping the future evolution of the
 861 coast (e.g., Haer et al., 2017).

862 Globally, coastal areas, which contain many of the largest cities, are highly con-
 863 centrated areas of population and wealth and continue to attract increasing populations
 864 (Neumann et al., 2015). At the same time, coastal areas are already seeing increasing
 865 hazards from RSL rise, with populations with differential resources and vulnerability
 866 undertaking both autonomous and planned adaptations (Hinkel et al., 2018).

867 These adaptations, and their interactions with other drivers of migration, can
868 exhibit complex, non-linear dynamics and thresholds. For example, delta communities
869 have been carefully investigated with respect to exposure, vulnerability and adaptation
870 efforts (Suckall et al., 2018). These efforts have highlighted the critical role of migration
871 as an adaptation strategy, as well as the importance of aligning planned adaptation
872 with autonomous efforts. These dynamics can also be observed in modeling efforts,
873 such as agent-based models of household defensive expenditures, which show near-term
874 investments followed by abandonment at some critical risk threshold (e.g., McNamara
875 & Keeler, 2013).

876 Simple economic models might predict a shift of investment away from frequently
877 flooded coastlines. Empirical evidence shows that being hit by a tropical cyclone causes
878 a multidecadal reduction in economic output (S. M. Hsiang & Jina, 2014), an effect
879 that computable general equilibrium (CGE) modeling indicates is consistent with the
880 diversion of investment to replace damaged capital (S. Hsiang et al., 2017). One might
881 expect that repeated flooding might lead to a shift of investment to less exposed areas,
882 and that the exposed population might likewise emigrate. Indeed, some evidence sug-
883 gests that increasing minor tidal flood frequencies affect housing prices within coastal
884 markets (Keenan et al., 2018), depressing demand for housing subject to repetitive
885 flooding and increasing demand for higher-elevation housing through a process re-
886 ferred to as “climate gentrification.” However, higher-elevation properties may still
887 have significant exposure to infrequent major flooding, and there is no clear evidence
888 for migration out of flood-exposed markets. There is also evidence that decisions about
889 adaptation to RSL rise is related to the degree of belief in and understanding of climate
890 change (Lata & Nunn, 2012).

891 Some autonomous responses to decisions to protect coastal properties can even
892 paradoxically increase exposure or vulnerability. In the US, the historical increase in
893 tropical cyclone damages appears to be primarily tied to a shift of population and
894 wealth toward the exposed coast (Klotzbach et al., 2018). In fact, recent observations
895 suggest that subsidized coastal protection and infrastructure development in exposed
896 areas inflate property values, in turn stimulating further housing and infrastructure
897 development, and thus an associated migration toward the coast (McNamara et al.,
898 2015; Armstrong et al., 2016). Moreover, coastal development often destroys natural
899 buffers against flooding, such as marshes and mangrove ecosystems (Barbier et al.,
900 2011; Temmerman et al., 2013), which further increases the flood hazard.

901 Understanding the response of coastal economies and populations to sea-level
902 rise is thus intimately bound with understanding the factors that influence migration
903 decision-making in general and more specifically in response to climatic stressors (e.g.,
904 Adams & Kay, 2019). Migration is the movement from one location to another. This
905 movement can be categorized by time scale (e.g., temporary versus permanent), spatial
906 scale (e.g., internal to a country versus international), purpose (e.g., economic versus
907 distress) and the degree of agency in the decision to move (e.g., voluntary versus
908 forced) (International Organization for Migration, 2019). Recent reviews related to
909 environmental migration have frequently employed the term ‘displacement’, especially
910 when the reason for migration is a sudden or progressive change in environmental
911 conditions (Gemenne & McLeman, 2018).

912 Decisions to migrate and observed mobility patterns differ by degree of vulner-
913 ability, with substantial heterogeneity in destination, timing of the movement, and
914 the potential to return (Fussell, Hunter, & Gray, 2014). Theories to explain observed
915 migration have shifted from individual economic decision-making to recognize the im-
916 portance of households and social capital. In neo-classical economic migration theory,
917 migrants are drawn primarily by more favorable conditions in receiving than in sending
918 areas. These forces are often summarized as “push” and “pull” factors (Lee, 1966).
919 Individuals are represented as agents who react to these conditions and opt to migrate

920 based upon their ability to take advantage of these differentials. More recent theories
921 have elaborated the social context of the individuals who undertake a migration. The
922 New Economics of Labor Migration (NELM) recognizes that migration is a decision
923 made at the household or community level rather than the individual level. House-
924 holds overcome imperfections in local markets – primarily economic, but also political
925 and social – through the migration of individuals who are best suited to the efforts.
926 These individuals then return a portion of their earnings through remittances (Stark
927 & Bloom, 1985). Social capital theory further emphasizes that the decision is made
928 within the context of migration systems. In this framing, individual decisions about
929 whether and where to migrate are facilitated by information that spreads through a
930 group via social capital (Massey & España, 1987). Both of these theories perform well
931 when explaining observed flows; however, there are still challenges to explaining the
932 initiation of migration in any given community.

933 The role of environmental pressures in migration decisions has taken on increased
934 salience with respect to climatic stressors such as RSL rise. Simpler conceptual models
935 that either draw on neo-Malthusian concepts about scarcity and population pressures
936 or emphasize the socioeconomic context as a dominant determinant have been largely
937 replaced by a more integrated models incorporating complex interactions between en-
938 vironmental and non-environmental factors (L. M. Hunter et al., 2015). The model
939 elaborated by Government Office for Science (2011) highlights the interplay of mul-
940 tiple macro-level influences – socio-cultural, political, and economic, in addition to
941 environmental – with individual and household characteristics that combined lead to
942 a decision to migrate or stay.

943 Thus, ‘environmental’ migration may not be substantially different than other
944 forms of migration. Migrants may be primarily opportunity-driven or may be fleeing
945 a proximate disaster. The significance of environmental or natural resources among
946 the other factors in their decision may range from large to insignificant (Black, Adger,
947 et al., 2011). Additionally, environmental migration is more likely to augment but
948 not fundamentally alter the migration patterns that are currently observed. In other
949 words, climate- and sea-level-driven migration is primarily a statistical phenomenon:
950 while some individuals (e.g., residents of small island states rendered uninhabitable by
951 RSL rise) may self-identify as climate migrants, most migration influenced by climate
952 change may only be identifiable in the statistical aggregate and not at the individual
953 level (Mayer, 2016).

954 Extending environmental migration to climate change, both macro- and micro-
955 level features are important when considering the implications for climate change and
956 migration. Migration in the context of climate change can be understood as an adap-
957 tation strategy within a social context, rather than a direct impact of environmental
958 change (McLeman & Smit, 2006; Black, Bennett, et al., 2011). Thus, the type of
959 exposure (e.g., sudden versus slow onset) and the vulnerability of the population to
960 these changes may jointly influence observed migration flows (Gibbons & Nicholls,
961 2006). For example, Adger et al. (2018) propose that sudden events lead to temporary
962 displacement, while slow onset changes lead to more permanent displacement. Both of
963 these forms of displacement can shift to migration as responses to the climatic stressors
964 become more proactive (Adger et al., 2018).

965 Models of coastal exposure and migration are evolving from more static represen-
966 tations of populations at risk toward agent-based models that capture decision-making
967 and interactions with socioeconomic conditions and adaptation decisions. (To date,
968 all of these models neglect the geomorphological dynamics discussed in the previous
969 section.) The former, more static models use different measures of physical exposure to
970 sea-level changes and their effects to estimate the population at risk of being displaced,
971 employing either present-day or future population scenarios with and without some
972 measures of adaptation. Early work focused on identifying present-day populations

973 at risk. Strauss et al. (2012) evaluated population exposed in the U.S. at different
974 elevations above the high-tide line, while Haer et al. (2013) evaluated U.S. population
975 under a set of RSL rise scenarios. Extending such an approach globally and employing
976 probabilistic RSL projections, R. E. Kopp et al. (2017) and Rasmussen et al. (2018)
977 considered respectively the effects on estimated population exposure of different as-
978 sessments of Antarctic ice-loss potential and the difference between 1.5° and 2.0°C
979 global-mean warming scenarios.

980 More complex models have incorporated population changes alongside RSL change.
981 For example, looking at RSL rise projections and scenarios for future coastal popu-
982 lations, Hinkel & Klein (2009) developed the Dynamic and Interactive Vulnerability
983 Assessment (DIVA) tool, which assesses exposure and vulnerability with an estimate
984 of the cost of population displacement from permanently inundated and intermittently
985 flooded land (Hinkel & Klein, 2009). Using DIVA, a set of standard socioeconomic
986 scenarios (the Shared Socioeconomic Pathways, or SSPs) and a set of bottom-up RSL
987 projections, Hinkel et al. (2014) estimated that, in 2100, 0.3–1.2 m of GMSL rise
988 would, in the absence of adaptation, lead to annual flooding of 0.2 – 4.6% of the
989 global population. Neumann et al. (2015) further elaborated on this effort by extend-
990 ing the population distribution in the SSPs to account for the differential growth of
991 the coastal populations. These risk assessments, however, incorporate at most limited
992 human decision-making. Hinkel et al. (2014) includes scenarios incorporating what
993 could be interpreted as a decision to remain in place with a single possible adaptation
994 measure. In these scenarios, perfect dikes are constructed and elevated around all
995 coastal areas in a nominally benefit-cost optimal manner, thus reducing the exposed
996 population by about two orders of magnitude.

997 Another set of models have integrated representations of migration in response to
998 RSL rise alongside other adaptation measures. Along each coastal segment in DIVA,
999 Diaz (2016) models an economic rational representative agent, who makes a decision
1000 in the face of RSL rise and storm surge to “do nothing”, protect up to a benefit-cost
1001 optimal elevation threshold, or relocate all the population below an elevation threshold.
1002 This approach begins to generate a more accurate representation of population at risk,
1003 as it dynamically considers adaptation, including migration. However, like the other
1004 exposure models, it does not consider a complete migration with destination, and it
1005 does not consider the complex range of factors involved in individual decision making.

1006 A few efforts have considered migration flows with an origin and a destination.
1007 Most of these studies do not consider how population growth along the coast would be
1008 changed through interactions with RSL rise, instead applying a RSL scenario to either
1009 current population or an exogenously specified population scenario. Using localized,
1010 observed migration rates and patterns, Curtis & Schneider (2011) estimated the mi-
1011 gration patterns from a few coastal counties in the US due to 1 m of RSL rise and to
1012 4 m of extreme storm surge. Hauer et al. (2016) expanded on this approach, using
1013 household-level rather than county-level data to assess US population vulnerability
1014 to RSL rise under 0.9 m and 1.8 m RSL rise scenarios. Hauer (2017) then modeled
1015 the likely destinations of these migrants, assuming that these flows augmented ex-
1016 isting migration patterns. Rigaud et al. (2018) modeled internal migration flows in
1017 sub-Saharan Africa, Asia, and Latin America, using a population gravity model to
1018 simulate the demographic effects of RSL rise and other climatic impacts. Looking at
1019 people and economic consequences in a neo-classical framework, Desmet et al. (2018)
1020 applied a highly resolved dynamic spatial economic model to the RSL projections of
1021 R. E. Kopp et al. (2014), focusing on displacement due to permanent flooding by RSL
1022 rise. They find that substantial economic losses in coastal communities are partially
1023 offset by enhanced economic growth in regions that receive displaced populations, with
1024 real GDP losses (as a percentage of the global economy) peaking in the middle of the
1025 22nd century.

1026 Currently, there is an emphasis on developing data and models that can capture
1027 more aspects of individual decision-making around migration (Curtis et al., 2018).
1028 Agent-based models may present a way forward (e.g., Kniveton et al., 2012), with one
1029 recent effort (Adams & Kay, 2019) incorporating non-material preferences (intrinsic
1030 mobility and satisfaction with place) into migration decision-making. However, to our
1031 knowledge, there are no agent-based models that jointly consider RSL rise, coastal
1032 population mobility, and other adaptation measures at a spatial scale larger than an
1033 individual community (e.g., McNamara & Keeler, 2013; Adams & Kay, 2019).

1034 Another consideration with modeling how RSL rise will affect migration is that
1035 the current record of migration does not generally have an appreciable RSL rise signal,
1036 such that it is challenging to develop empirical evidence. Indeed, there has been move-
1037 ment to the coast, especially in wealthier and urban locations (Hallegatte et al., 2013).
1038 Thus, studies of coastal populations and migration have focused on observations of
1039 existing environmental stressors that are either indicative of or worsened by RSL rise,
1040 such as both acute (storm-driven) and chronic (minor tidal) flooding, as well as in-
1041 creases in groundwater or soil salinity, especially in agricultural settings. Most studies
1042 of existing stressors related to RSL rise also emphasize the interplay between expo-
1043 sure and vulnerability in forming migration decisions. For example, Chen & Mueller
1044 (2018) found that the type of impact matters for migration decisions: soil salinity
1045 drives internal and international migration from agricultural regions of Bangladesh,
1046 while flooding alone has little effect. Another stream of research that is associated
1047 with RSL rise focuses upon disasters. While RSL rise does not influence the frequency
1048 of storms, it does increase the frequency of ESLs and acute flooding (Section 3).
1049 The now-iconic example of Hurricane Katrina and New Orleans highlights the multi-
1050 faceted physical aspects of RSL rise, demonstrating how early displacement, relocation
1051 and recovery may influence the future vulnerability of a coastal area (Fussell, Curtis,
1052 & DeWaard, 2014; Fussell et al., 2018).

1053 Regardless of the magnitude of RSL rise, some amount of coastal land will be
1054 fully inundated and thus uninhabitable. In order to conceptualize the scope of the
1055 challenge of migration due to RSL rise and its associated impacts, it is necessary
1056 to understand household- and population-level responses. Through both acute and
1057 chronic changes, migration as an adaptation will change the number and composition
1058 of people in coastal regions, thus altering vulnerability. For example, these dynamics
1059 may lead to undesirable futures, with urban and richer areas fortifying the coasts
1060 encouraging further population growth and even more catastrophic consequences in
1061 the case of failure (Hinkel et al., 2018). In this process, some migration streams will be
1062 eliminated and new ones will emerge. This has led to concerns that some populations
1063 may be “trapped” in these vulnerable areas ; however, more recent work has suggested
1064 that these populations may equally be expressing strong location attachment and thus
1065 prefer to remain (Zickgraf, 2018).

1066 Migration in the context of RSL rise and its impacts as a proactive strategy to
1067 avoid risk (Piguet et al., 2011) will interact with the perceived desirability of migration
1068 in general. These preferences will be influenced by other amenities, such as employment
1069 and educational opportunities, as well as other policies, such as zoning, emergency
1070 response and insurance, such that any plans must be part of an integrated strategy
1071 (Nicholls & Cazenave, 2010). Regardless, developing policy strategies to alleviate social
1072 and cultural pressures and accommodate those who must move will go a long way to
1073 managing what will in many regions be a permanent relocation away from the coasts
1074 (Warner, 2010; Mayer, 2016).

6 Decision frameworks

While risk has been ubiquitous throughout human history, rapidly changing scenarios, deep uncertainty, and global risks associated with problems like climate change have contributed to the emergence of new risk-based decision frameworks (e.g., Mozafali & Jahangiri, 2018). Expanding upon the classical analysis of risk, which focuses more narrowly on technical and economic dimensions (Renn, 2008a), the risk governance perspective encompasses the processes of identifying, framing, assessing, characterizing, managing and communicating risks (Rosa et al., 2013). The risk governance perspective emphasizes that risk is in part a social construct, depending not only on the facts of hazard, exposure, and vulnerability, but also on the values of the broad variety of public and private actors affected and involved (Renn, 2008b).

Considering future SLR projections, within changing coastal environments, deep uncertainty poses many challenges for decision makers seeking to anticipate, identify, manage, and communicate risks (Ramm et al., 2018a,b). Uncertainty arises not only from emissions and ice-sheet physics, as discussed above, but also from other physical, biological and socioeconomic elements of the coastal system and their responses to sea-level change. Economic and population growth, urban and agricultural development, technological innovation, and the evolution of societal preferences, perceptions, and values, as well as the interaction among these processes, all contribute (Hallegatte, 2009; Haasnoot et al., 2013; Wilby, 2010; J. H. Kwakkel et al., 2016). These uncertainties have direct implications for the formulation of adequate adaptation and mitigation measures and add to the challenges of risk governance across different landscapes and constituencies (Adger et al., 2007; Wilby, 2010; Dessai et al., 2018).

Over the past few decades, different approaches have emerged to assist policy-makers in the formulation of adaptive decision mechanisms in changing coastal environments (A. Bhave et al., 2016). These efforts have concentrated on understanding the nature of uncertain hazards, exposure, and vulnerability – which together constitute risk in the sense used by the Intergovernmental Panel on Climate Change (Lavell et al., 2012) – as well as the translation of research into practice (A. G. Bhave et al., 2018; Dessai et al., 2018; Hallegatte, 2009). Decision frameworks fall into two major categories: traditional ‘prediction-first’ economic perspectives, such as benefit-cost analysis, cost-effective analysis, and multi-criteria analysis; and more policy-driven approaches, such as robust decision making and Dynamic Adaptive Policy Pathways (R. Lempert et al., 2003; R. J. Lempert, 2014; Haasnoot et al., 2013, 2019; Wise et al., 2014; Dittrich et al., 2016; Gorddard et al., 2016).

‘Prediction-first’ decision models begin with a probability distribution of future risks as a function of some choice variables, and aim to optimize these choice variables to maximize expected utility (possibly subject to some specific target, as in cost-effective analysis, or using a multivariate utility function, as in multi-criteria analysis). Many of the challenges of estimating such a distribution for RSL rise, ESL, or coastal flooding were discussed above; this prediction step constitutes a major challenge in applying traditional decision models in the climate context (R. J. Lempert, 2002; R. J. Lempert et al., 2006; Hallegatte, 2009; Weber & Johnson, 2009; Ramm et al., 2017). Even in the absence of changes in ESL distributions and ignoring the physical and social dynamism of the coast, deep uncertainty in RSL projections challenges the traditional use of ‘prediction-first’ approaches like benefit-cost analysis for decisions with consequences lasting into the second half of this century and beyond. (Consider the 3 orders of magnitude uncertainty in expected ESL frequency in 2100 shown in Figure 3.)

A number of economic approaches appropriate for use under deep uncertainty rely upon the use of multiple alternative probability distributions, effectively treating these distributions as different probabilistic scenarios, and aim to optimize some ob-

1127 jective function across these different probabilistic scenarios (Heal & Millner, 2014).
1128 For example, these distributions may represent different non-probabilistic assumptions
1129 about factors such as emissions, ice-sheet physics, and the interactions among differ-
1130 ent processes that drive sea-level change. Maximin expected utility seeks a policy
1131 that maximizes the minimum expected utility across all the considered distributions.
1132 The smooth ambiguity model assigns subjective weights to the different distributions,
1133 while penalizing ambiguity (i.e., the levels of disagreement) when averaging expected
1134 utilities across distributions. The multiplier preference model identifies a ‘best esti-
1135 mate’ distribution and penalizes distributions based on their distance from the ‘best’
1136 distribution, then seeks to maximize the minimum of the penalized expected utility.
1137 Such approaches may be particularly valuable for sea-level-related decisions in con-
1138 texts where a decision must be made now and has consequences that last into a time
1139 period with sizably deep uncertainty, and yet there is little opportunity for revisiting
1140 the decision into the future.

1141 To our knowledge, however, these ‘multiple prior’ approaches have rarely or never
1142 been formally applied in the sea-level context; traditional benefit-cost analysis is more
1143 common. Yet benefit-cost analysis is not well-suited for deep uncertainty, and it also
1144 often struggles with the the practical assessment of costs and benefits of adaptation
1145 within non-market sectors (Dittrich et al., 2016). It can lead to a tendency towards
1146 the quantification of technical solutions to the detriment of other non-technical man-
1147 agement strategies, as the former are generally easier to define in policy contexts. In
1148 other cases, the application of economic and financial decision tools to adaptation
1149 studies is contentious given the lack of a uniform methodology for carrying out assess-
1150 ments (Watkiss et al., 2015). As a consequence, the use of ‘prediction-first’ economic
1151 decision-making tools is increasingly being challenged (Dawson et al., 2018; Ramm et
1152 al., 2018b).

1153 In terms of decision support, the unpredictability of future conditions creates
1154 many plausible and often competing alternatives, each with potentially salient reper-
1155 cussions for local constituencies, that need to be considered at any given time (J. H. Kwakkel
1156 et al., 2016; J. Kwakkel et al., 2016). This requires experts not only to anticipate un-
1157 expected events that may result from the behavior of the system, but also to account
1158 for the different values, perspectives, and interests of relevant actors in integrated
1159 management solutions (Dittrich et al., 2016; Dewulf et al., 2015; Herman et al., 2014).
1160 Accommodating a variety of stakeholders with diverging frames of reference, knowl-
1161 edge, and opinions can be achieved through processes of active participation, iterative
1162 knowledge development, learning, and negotiation (Dewulf et al., 2005; Seijger et al.,
1163 2014; A. Bhave et al., 2016). Yet anticipating future adaptation solutions implies a
1164 systematic exploration of a vast set of potential outcomes along with the timely ad-
1165 justment of responses and planning strategies that are executed by a diverse set of
1166 parties (Gorddard et al., 2016; J. H. Kwakkel, 2017).

1167 Robust decision-making (RDM) methods employ a ‘decision-first’ approach that
1168 inverts the traditional ‘prediction-first’ framework. They begin by identifying poten-
1169 tial policy options and considering their robustness under a broad range of possible
1170 futures (R. Lempert et al., 2003; Dessai et al., 2018; Ramm et al., 2018a). As a deci-
1171 sion support method, RDM relies on modeling strategies to explore decision scenarios
1172 and candidate actions for adaptation (J. H. Kwakkel et al., 2016). The main principle
1173 behind RDM is that, under conditions of deep uncertainty, a range of different models
1174 of the system can better represent available information about plausible futures rather
1175 than a smaller set of mathematical formalizations (R. J. Lempert, 2002; Herman et al.,
1176 2014). Unlike traditional approaches, where the emphasis is on converging towards an
1177 optimal solution, robustness is defined by the identification of mechanisms that can
1178 show satisfactory performances under different initial conditions, assumptions about
1179 prior probability distributions, and models of the system (R. J. Lempert et al., 2006;

1180 R. J. Lempert & Collins, 2007). Implemented through computational simulations as-
 1181 sisted by scenario-based planning and adaptive management, the RDM process allows
 1182 decision makers to work with stakeholders in the identification of vulnerabilities, op-
 1183 portunities, and trade-offs among possible adaptation responses (R. J. Lempert, 2002;
 1184 Haasnoot et al., 2013, 2019).

1185 Dynamic Adaptive Policy Pathways are a flavor of robust decision-making that
 1186 is particularly well-suited for decisions – like many adaptation decisions – that are not
 1187 one-off decisions, but rather decisions that can be revisited and modified over time.
 1188 Often called Flexible Adaptation Pathways in the sea-level context (e.g., Rosenzweig &
 1189 Solecki, 2010), Dynamic Adaptive Policy Pathways rely upon the identification of dif-
 1190 ferent policy options and the possible pathways linking them together. They consider
 1191 the range of possible future scenarios under which different options and pathways are
 1192 viable, identify indicators (e.g., RSL crossing a specific threshold) that would trigger
 1193 a choice between different branching pathways, and establish a monitoring system so
 1194 that those charged with the implementation of the pathways know when to act (Haas-
 1195 noot et al., 2013, 2019). Idealized economic and engineering analyses indicate that
 1196 adaptive approaches, such as Flexible Adaptation Pathways, can lead to dramatically
 1197 lower costs than approaches that do not allow for iterative refinement (Lickley et al.,
 1198 2014; G. G. Garner & Keller, 2018). Flexible Adaptation Pathways are recommended
 1199 in guidance in a number of jurisdictions, including New York City (New York City
 1200 Mayor’s Office of Resiliency and Recovery, 2019) and California (California Natural
 1201 Resources Agency & California Ocean Protection Council, 2018), and have been used
 1202 for plans including the UK Thames Estuary 2100 plan (Ranger et al., 2013) and the
 1203 Dutch Delta Programme (Delta Programme, 2015). Haasnoot et al. (2019) devel-
 1204 oped archetypal adaptation pathways for different coastal terrains (e.g., rural open
 1205 coast, urban estuary). However, the development of Flexible Adaptation Pathways
 1206 has generally focused narrowly on engineering approaches, such as storm barriers and
 1207 levees, and has not considered the dynamic co-evolution of human and natural coastal
 1208 systems.

1209 **7 Coastal adaptation decisions in practice**

1210 While idealized decision frameworks do inform actual coastal decisions, the real
 1211 world is a messier place, full of capacity and political constraints. Recognizing this com-
 1212 plexity, the risk governance perspective entails a broader exploration of the diversity
 1213 of processes, rules, and actors involved in decision making, including the complexity of
 1214 societal and institutional practices and realities that underlie risk management (Renn,
 1215 2008c).

1216 State-of-the-art science can make it fairly quickly into assessment reports written
 1217 to inform decision-makers, such as those of the Intergovernmental Panel on Climate
 1218 Change and numerous national and subnational panels. In the United States, for
 1219 example, subnational sea-level assessments in different localities have undergone four
 1220 overlapping waves that reflect the evolving perspectives within the sea-level science
 1221 community (Hall et al., 2019). A variety of studies from 2008–2015 relied upon discrete
 1222 scenarios with no assigned likelihoods, and considered local RSL change to differ from
 1223 GMSL only due to VLM (e.g., California and Maryland in 2008, Connecticut in 2013,
 1224 Florida in 2011 and 2015, and Louisiana in 2012). A second wave, from 2010–2017,
 1225 gave more careful attention to uncertainties and to the spatial patterns associated
 1226 with different processes (e.g., New York City in 2010, Maryland in 2013, and the US
 1227 Pacific Coast in 2012). A third wave, which began in 2013, relied upon probabilistic
 1228 approaches (e.g., New York City in 2013, Oregon in 2017), while a fourth wave, begun
 1229 in 2016, is giving more careful attention to deep uncertainties associated with ice-sheet
 1230 physics (e.g., California in 2017, Maryland in 2018, New York City in 2019). Based on
 1231 the personal experience of the authors, in the absence of an adequate national climate

1232 service capable of fulfilling subnational demands (e.g., Le Cozannet, Nicholls, et al.,
1233 2017), much of the coherency among these waves of US subnational assessments has
1234 been driven by the repeated involvement of overlapping groups of academic experts in
1235 different localities.

1236 State-of-the-art decision frameworks appear to have greater difficulty making it
1237 into practice. A review of adaptation methodologies employed in Australia (Ramm
1238 et al., 2017) found that ‘prediction-first’ approaches – benefit-cost analysis and mul-
1239 ticriteria decision analysis – predominated, although robust methods were used on
1240 occasion (e.g., a regional plan for the Eyre Peninsula). Similarly, in five Swedish case
1241 studies of decisions dating between 2012 and 2019, and encompassing transportation
1242 plans, housing plans, comprehensive plans, and nuclear waste disposal, Kanyama et al.
1243 (2019) found that ‘prediction-first’ approaches were ubiquitous. Scientific assessment
1244 of sea-level rise (in this case, by the Swedish Meteorological and Hydrological Insti-
1245 tute, SMHI) provided a critical source of information for all cases except the nuclear
1246 waste case, but consultants using SMHI assessments ultimately reduced the range of
1247 possibilities assessed to a single projection. The focus in many of the case studies was
1248 a ‘reasonable’ ‘upper limit.’

1249 The pathway from scientific assessment to on-the-ground decisions is tortuous in
1250 part because the scientists and policymakers involved often adopt a linear model of
1251 operations. The policymakers may come to a scientific assessment panel with a set
1252 of questions, which scientists then try to answer based upon an underlying literature
1253 that has largely developed with limited reference to underlying decision goals. The
1254 assessment panel writes a report and hands it off to policymakers, after which their
1255 role is done. Yet one lesson of the literature on transdisciplinary research is that the
1256 linear approach, with scientists handing off an assessment to end-users, tends to be
1257 ineffective (Cash et al., 2003). Rather, an iterative approach – with scientists and end-
1258 users in dialogue throughout – helps ensure that the questions scientists are asking are
1259 the ones to which end-users need answers. In other words, the credibility, relevance,
1260 and legitimacy of the underlying science, as perceived by those who might use it, are
1261 enhanced by the iterativity of the process (Sarkki et al., 2015).

1262 At least in the United States, many sea-level adaptation decisions suffer from
1263 the lack of coordination among different levels of decision-makers. Critical decisions,
1264 such as zoning, are often highly decentralized, but individual communities do not de-
1265 velop in isolation from one another, and infrastructure built to protect one region
1266 may (positively or negatively) affect the exposure of neighboring regions. For ex-
1267 ample, Gopalakrishnan et al. (2017) demonstrate that sediment transport processes
1268 create the potential for prisoner’s dilemma-type dynamics between adjacent commu-
1269 nities engaging in beach nourishment. Thus, strengthened coordination across scales
1270 and sectors can help match decision-making scales to the scales of relevant dynamics.
1271 Within the scientific community, better coordination internally and with stakeholders
1272 to establish standards and best practices for climate-related assessment and tools can
1273 be helpful (Moss et al., 2019). Boundary organizations, whether external to academic
1274 institutions (e.g., non-governmental organizations) or internal to them (e.g., the ex-
1275 tension networks of land-grant universities), are therefore essential players in building
1276 cross-scale bridges between science and action (R. Kopp, 2019).

1277 A couple case brief studies illustrate approaches taken to advance stakeholder en-
1278 gagement, as well as intergovernmental and cross-sectoral coordination, in adaptation
1279 planning.

1280 In the Netherlands, the Delta Programme has begun an iterative process of anal-
1281 ysis, goal formulation, and implementation undertaken through regional collaborations
1282 between municipalities, district water boards, provinces, and the national government
1283 (Delta Programme, 2017). Standardized regional “stress tests”, intended to be regu-

larly repeated, are a core part of the analysis step. The goal-setting dialogues bring in additional stakeholders, including housing corporations, grid managers, farmers, and park managers. The first round of these, set to be complete in 2019, is intended to be followed by the development of implementation agenda by 2020. The identification of synergies with other spatial planning objectives is intended to be a key part of the implementation plan development.

In Jamaica Bay, Queens, New York, the Science and Resilience Institute at Jamaica Bay, established after Hurricane Sandy as a partnership among several governments, environmental groups, and academic institutions, has played a key role in efforts to incorporate local residents in the planning of resilience solutions for flooding (Science and Resilience Institute at Jamaica Bay, 2019). These efforts rely on the use of citizen science, environmental education, increased communication, and iterative tools that require the close interaction between residents and experts in exploring issues associated with storm water, technical support for regular flooding and extreme tides, and hazard response.

A similar process is underway in the Two Rivers region of Monmouth County, New Jersey, where federal funding allowed the state to partner with fifteen local governments and Rutgers University to develop a regional resilience plan through a series of open houses and other public consultations (New Jersey Coastal Management Program, 2019). The New Jersey Climate Change Alliance, a network of public, private, non-governmental and academic institutions coordinated by Rutgers, sponsored the original scientific assessment underlying this process (R. Kopp et al., 2016), which is expected to serve as a model for similar processes in other regions of the state.

Even the best-intended, and theoretically well designed, sea-level science and adaptation decision frameworks can go astray if efforts to translate science to action do not take political economy, including the legacy of previous development programs, into account (Ramasubramanian et al., 2016). Sovacool & Linnér (2016) identify four mechanisms by which climate adaptation efforts can perpetuate existing injustices and distort their own goals. Economic enclosure leads to the capturing of resources or authorities, for instance the way the Dutch Delta Works subverted local water boards when it was established in the 1950s. Political exclusion leads to the omission of the voices of some affected populations from the planning process, which they argue happened with woman and minority voices during the post-Katrina recovery in New Orleans. Environmental encroachment sacrifices environmental protection to advance adaptation projections: for instance, they point to habitat degradation associated with the Dutch Delta Works and the relaxation of environmental standards during the Katrina recovery. Finally, adaptation efforts can foster entrenchment of inequality; for example, they note that post-Katrina Army Corps repairs disproportionately benefited wealthier and whiter neighborhoods.

Unfortunately, efforts that deliberately promote community engagement and try to avoid Sovacool & Linnér (2016)'s four Es by acknowledging a larger participation in key stages of planning and long-term response may still end up reinforcing the status quo. As Fleming (2019) highlights, the post-Hurricane Sandy Rebuild By Design initiative in the United States aimed to foster close interactions between designers and communities to advance more resilient designs. One of the winning designs, The Big U, envisioned berms and retractable flood walls around lower Manhattan, made welcoming with parks and other amenities. While many of the participating design firms remain involved, in a decision that may produce another case study of Sovacool & Linnér (2016)'s four Es, "the city has tossed out years of community planning and announced a conventionally engineered solution: extend the land area with fill, add near-shore walls, and unleash another round of hyper-luxury real estate development to help pay for the cost of new coastal infrastructure" (Fleming, 2019). Ensuring that future sea-level adaptation efforts increase resilience successfully and equitably may

1337 thus require a greater focus from the scientists, boundary organizations, and other
1338 actors involved on potential failure pathways tied to political and economic power
1339 structures.

1340 **8 Pathways forward**

1341 Understanding the future evolution of coastlines requires an interdisciplinary
1342 systems approach. Human activities, and the uncertain response of the climate sys-
1343 tem, influence the rate of mean sea level change and the frequency and intensity of
1344 storms. These changes modulate coastal flooding, erosion, and deposition – shifting
1345 the ground underneath ecosystems and human settlements and affecting vulnerability
1346 to future flooding. Humans may respond to changing flood risk through engineering or
1347 migration; and these processes will also shape the broader physical and socioeconomic
1348 evolution of the coast.

1349 In the physical science domain, the ice-sheet response to greenhouse gas forcing is
1350 the primary driver of deep uncertainty in multigenerational projections. The discovery
1351 of MICI may not be the last time that an “unknown unknown” emerges with potentially
1352 profound implications for GMSL rise. Improving characterization of MICI and other
1353 processes at the ocean/ice shelf/ice sheet interface may not initially lead to a reduction
1354 in uncertainty, but it can provide the basis for designing observation systems targeted
1355 to reduce uncertainty as quickly as possible. Transitioning processes from “unknown
1356 unknowns” to “poorly known unknowns” is a critical task that can be crucially helpful
1357 in guiding decisions.

1358 For the next few decades, other processes driving regional and local change –
1359 such as atmosphere/ocean dynamics, anthropogenic subsidence, tectonics, and GIA –
1360 are as important as ice-sheet uncertainty in driving uncertainty in RSL projections.
1361 Observational and modeling studies have the potentially to narrow associated uncer-
1362 tainties greatly, particularly as the length of the high-density observational records
1363 of the satellite era grow. For high-frequency processes where satellite coverage is too
1364 temporally sparse, innovative observational strategies – for example, combining denser
1365 conventional sensor systems with crowdsourced data (e.g., R.-Q. Wang et al., 2018) –
1366 may provide substantial advances.

1367 Sea-level rise impact assessment need to move beyond exposure assessments that
1368 assume the physical and human coast are passive recipients of environmental change.
1369 Coupling mean and extreme sea-level projections with geomorphological, ecological,
1370 economic, and population models can provide a more realistic representation of expo-
1371 sure and vulnerability. But models need data, and data-driven statistical approaches,
1372 as well as process-based approaches, are key (e.g., S. Hsiang, 2016).

1373 While an interdisciplinary approach is necessary to understand coastal evolu-
1374 tion, a transdisciplinary approach is essential to ensuring the resulting knowledge is
1375 legitimate, relevant, and credible for coastal stakeholders. Co-production of sea-level
1376 science, with stakeholders integrated into the entire process from problem definition
1377 and analysis to communications and evaluation, is key to ensuring the scientific ques-
1378 tions being asked will provide useful answers. “Decision-first” frameworks can help
1379 identify the uncertainties that could actually affect on-the-ground choices, while often
1380 facilitating analysis of robustness to deep uncertainty. Real decisions provide a natu-
1381 ral boundary object, a focal point for discussions among scientists, practitioners, and
1382 affected populations.

1383 Yet decision science too can become isolated from real decisions if the decision
1384 process is treated as though it were separate from the affected systems. Political
1385 economy is as much a part of the dynamic coast as geomorphology, human migration,
1386 and market economics. The institutional design of networks and hubs intended to link

1387 science and adaptive decision making must therefore account for power relationships
 1388 and their effects on the efficacy and equity of adaptation, and figuring out how to do
 1389 so is a crucial task for the relevant social sciences.

1390 For sea-level science to truly become actionable, we need to dissolve the bound-
 1391 ary lines between sea-level science, impact science, social science, decision-makers, and
 1392 affected populations. This does not mean we foreclose fundamental advances, whether
 1393 inspired by use or curiosity, even in the purely physical realm. But it does imply
 1394 complementing curiosity-driven science with a different way of doing science and of
 1395 educating the next generation of sea-level scientists – one that is not just interdis-
 1396 ciplinary but transdisciplinary, with two-way communications with non-specialists as a
 1397 fundamental element (R. Kopp, 2019).

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